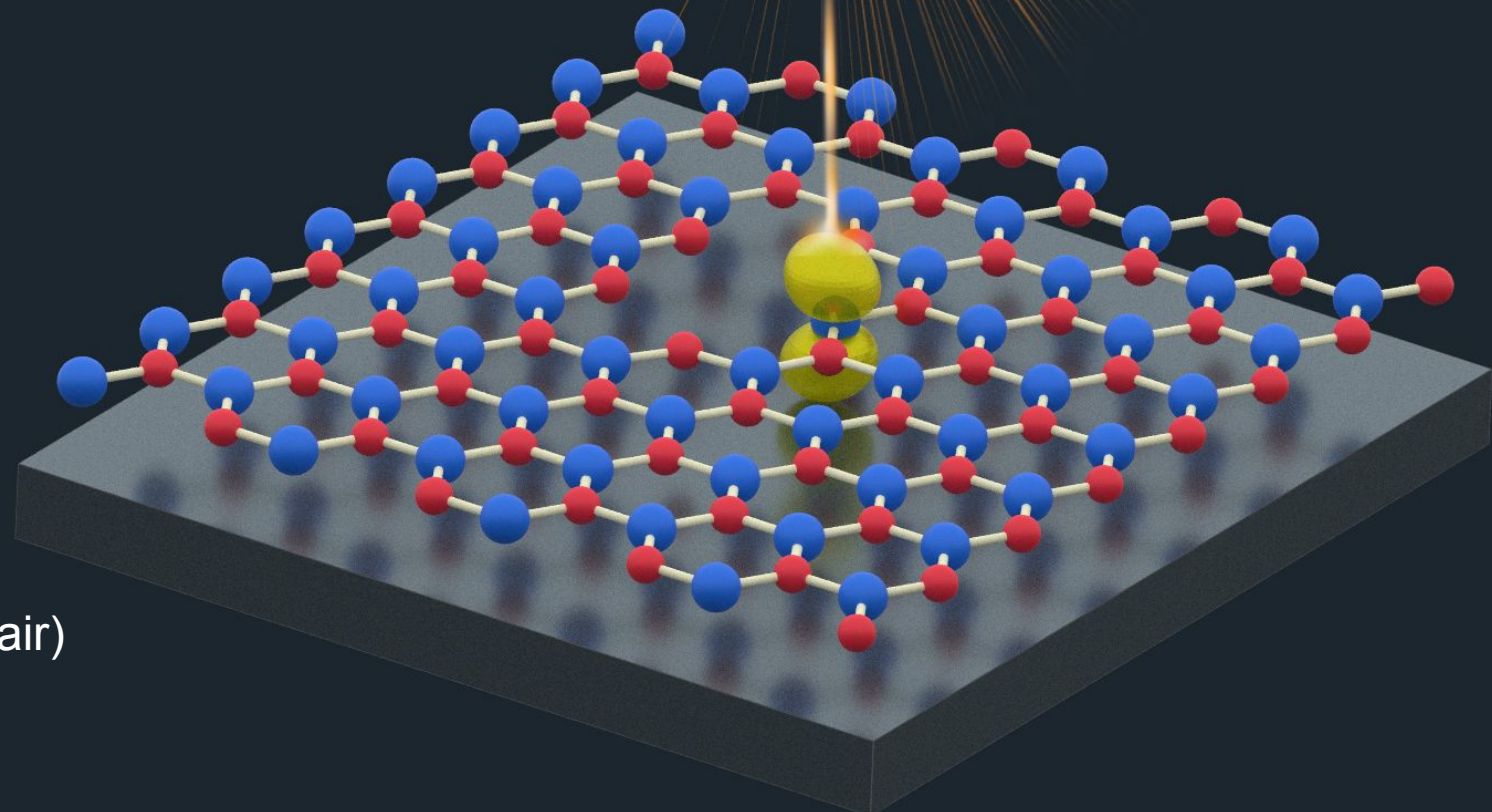


# Quantum Defects from First Principles

Mark E. Turiansky

*University of California, Santa Barbara*



*Advising Committee:*

Chris G. Van de Walle (chair)

Cenke Xu (co-chair)

Ania C. Bleszynski Jayich

# Acknowledgements



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*US NRL*



Jimmy-Xuan Shen  
*LLNL*



Cyrus E. Dreyer  
*Stony Brook Univ.*

# Harnessing Quantum Mechanics

**NSF QuBBE**



Quantum Information Science and Technology



UC SANTA BARBARA

**Quantum** | Foundry >

Foundry >

DMR-1906325

STIQ *Second quantum revolution*  
SOFTWARE-TAILORED ARCHITECTURES  
for QUANTUM CODESIGN



MIT-Harvard Center for Ultracold Atoms  
a National Science Foundation Physics Frontier Center



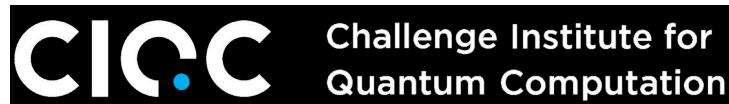
Flagship: \$1.2b

China Nat



QUANTUM SYSTEMS ACCELERATOR

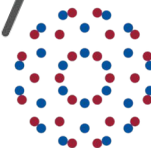
Catalyzing the Quantum Ecosystem



QUANTUM NEW MEXICO  
New Mexico is a Quantum State



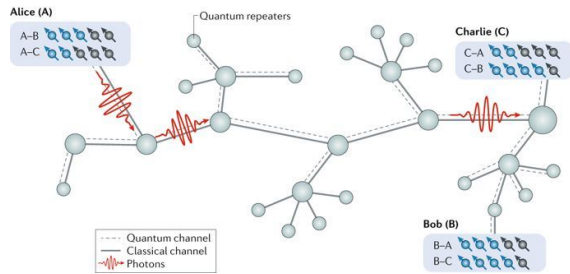
Institute for  
Robust Quantum  
Simulation



MonArk  
Quantum Foundry

# Quantum Information Science

## Quantum Computing



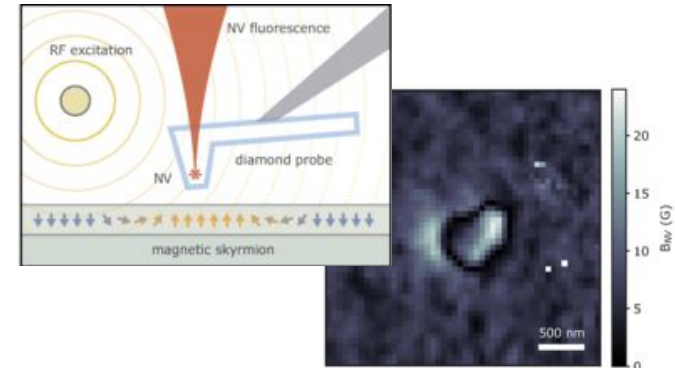
M. Atatüre *et al.*,  
Nat. Rev. Mater. **3**, 38 (2018)

## Quantum Cryptography



S.-K. Liao *et al.*,  
Phys. Rev. Lett. **120**, 030501 (2018)

## Quantum Metrology



Jenkins *et al.*,  
Phys. Rev. Materials **3**, 083801 (2019)

- Potential platforms

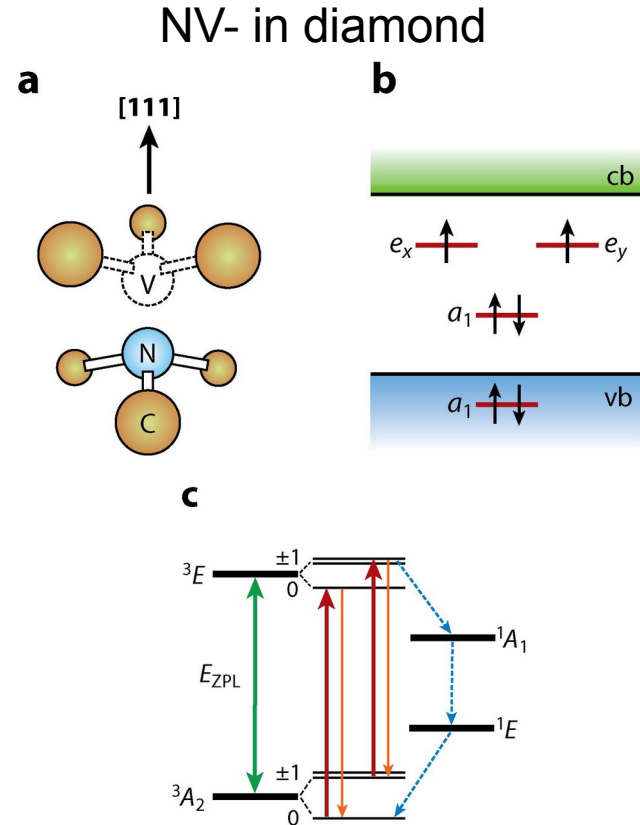
- Superconducting junctions
- Trapped ions
- Topologically protected states

- Quantum dots
- ...
- **Defects in semiconductors**



# Quantum Defects

- Scope
  - Defects + impurities
  - Deep not shallow
  - Two types
    - Quantum emitters & spin centers
- Deep-level defects
  - Record coherence
    - $T_1 \sim 8$  h for  $NV^-$  in diamond  
T. Astner *et al.*, Nat. Mater. **17**, 313 (2018).
    - $T_2 \sim 1.8$  ms for  $NV^-$  in diamond *at room T*  
G. Balasubramanian *et al.*, Nat. Mater. **8**, 383 (2009).
  - Many options for control
  - Scalability - benefits from mature semiconductor industry

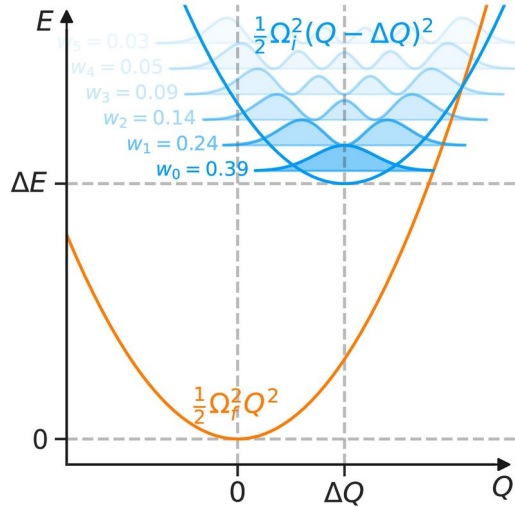


Dreyer *et al.*, Annu. Rev. Mater. Res. **48**, 1 (2018).

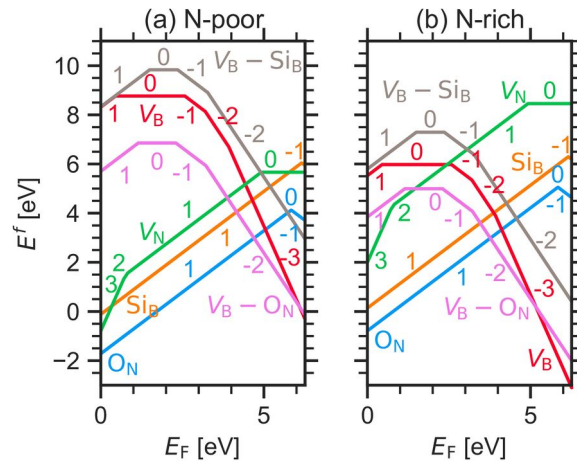
# Outstanding Challenges

- 1) Develop first-principles methodologies to describe quantum defects.
- 2) Predict novel quantum defects with superior properties.

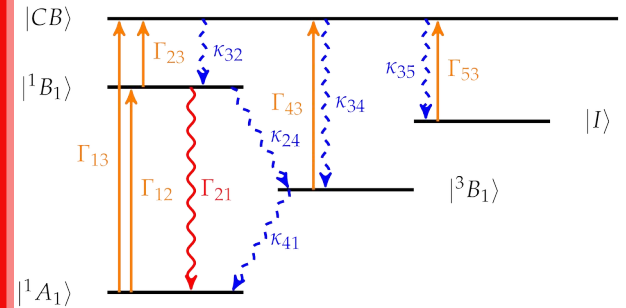
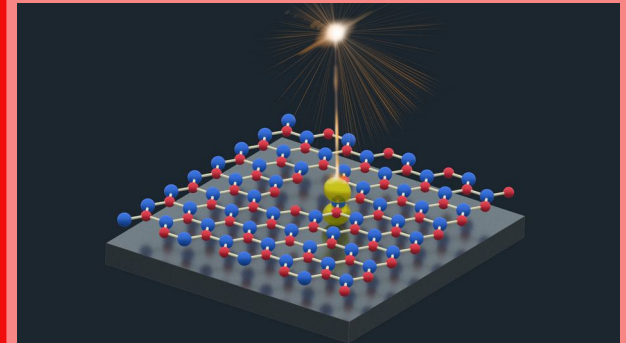
## Theory



## Cubic Boron Nitride



## Hexagonal Boron Nitride



# Density Functional Theory

- Many-body wavefunction

$$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_{N_e}) \sim (N_G)^{3N_e}$$

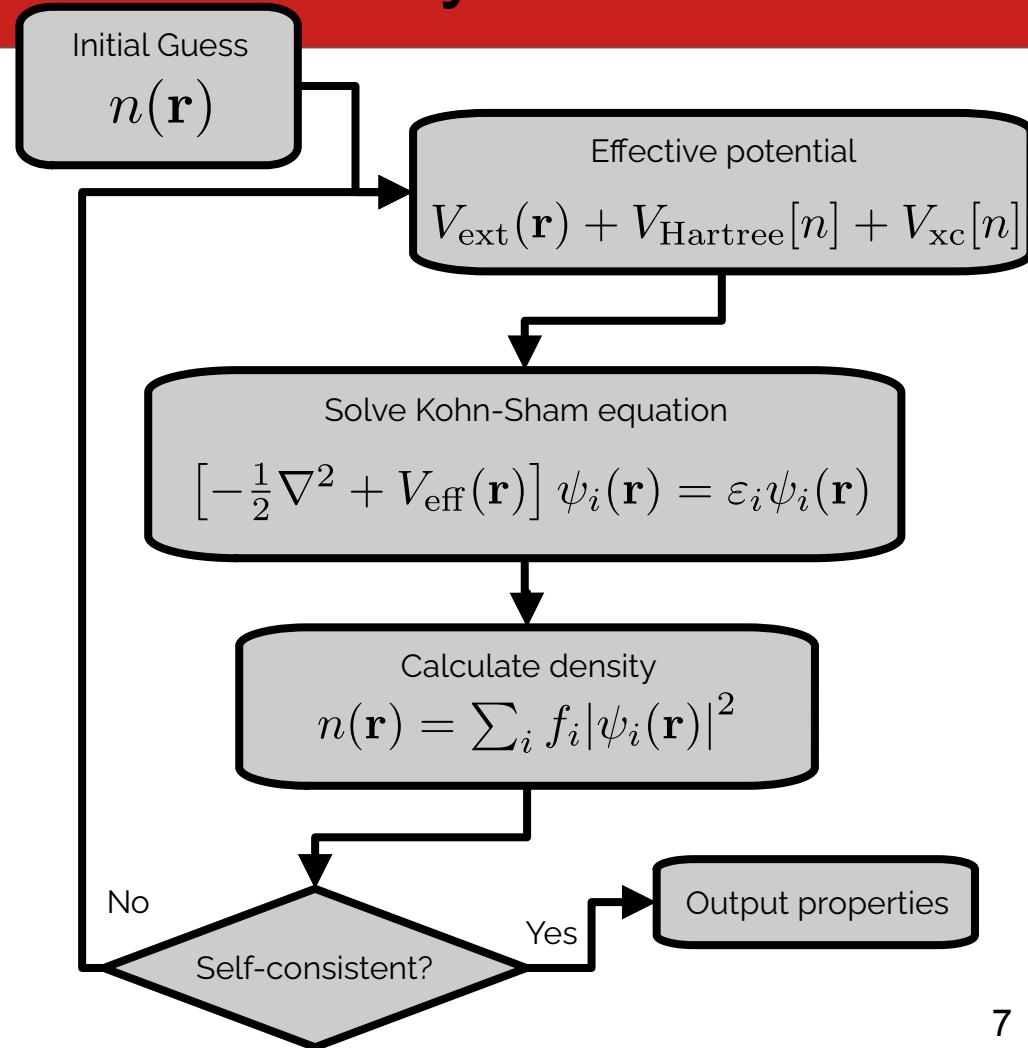
- P. Hohenberg and W. Kohn, Phys. Rev. **136**, B864 (1964).

$$V_{\text{ext}} \Leftrightarrow n_0$$

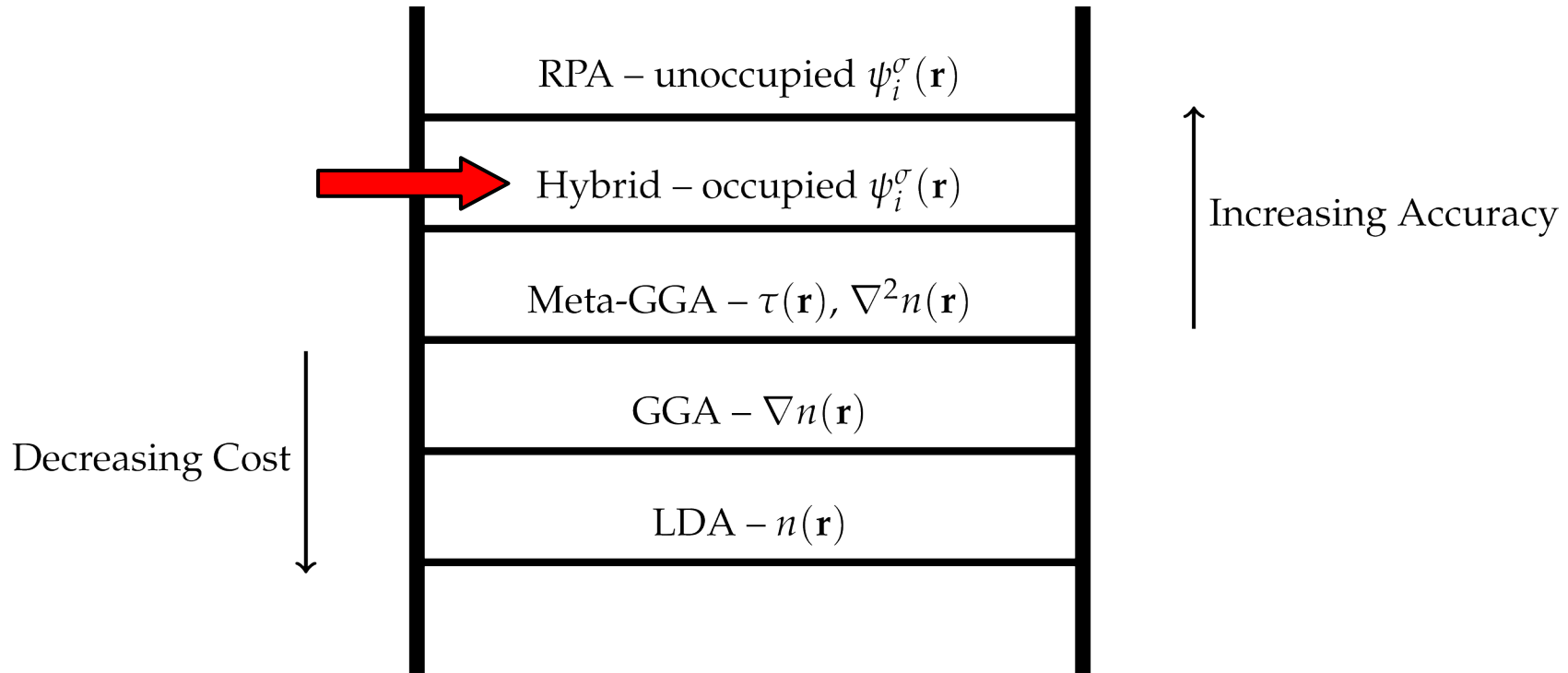
- W. Kohn and L. J. Sham, Phys. Rev. **140**, A1133 (1965).
  - Mean-field approx.

$$n_0^{\text{int}} \Leftrightarrow n_0^{\text{non-int}}$$

$$V_{\text{xc}}[n] = \langle \hat{T} \rangle - T_s[n] + \langle \hat{V}_{\text{int}} \rangle - E_{\text{Hartree}}[n]$$



# Exchange-Correlation Functionals



- HSE hybrid functional implemented in VASP
  - Heyd *et al.*, J. Chem. Phys. **124**, 219906 (2006)
  - Kresse and Furthmüller, Phys. Rev. B **54**, 11169 (1996)



# Computational Details

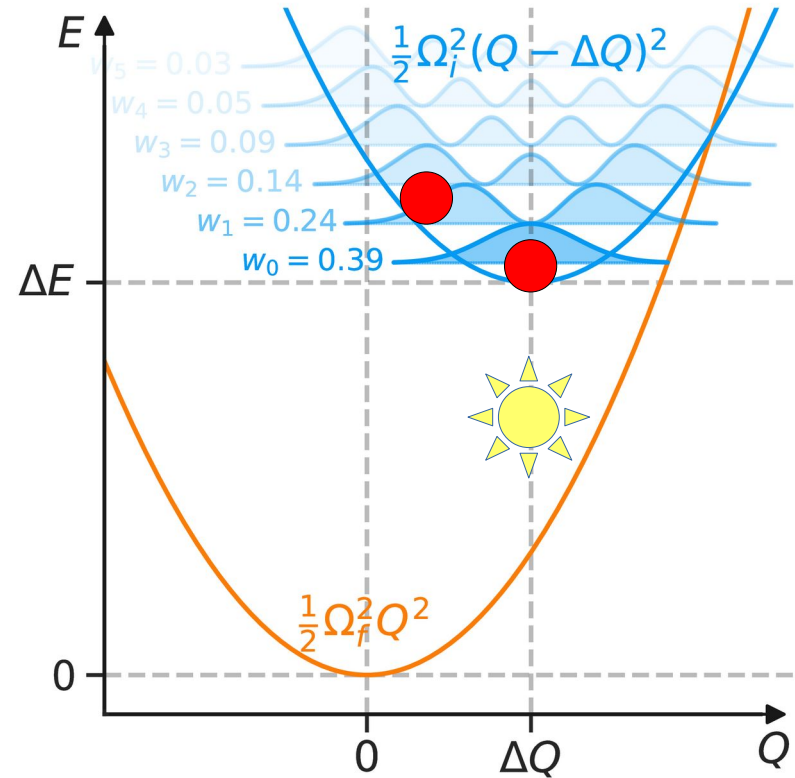
- Nudged elastic band method to investigate migration
  - G. Henkelman *et al.*, J. Chem. Phys. **113**, 9901 (2000)
- Constrained-occupation  $\Delta$ SCF to address excited states
  - R. O. Jones and O. Gunnarsson, Rev. Mod. Phys. **61**, 689 (1989)
- First-principles approach for defects
  - Freysoldt *et al.*, Rev. Mod. Phys. **86**, 253 (2014)

$$E^f[X^q] = E_{\text{tot}}[X^q] - E_{\text{tot}}[\text{c-BN}] - \sum_i n_i \mu_i + qE_F$$

The diagram illustrates the components of the formation energy equation. On the left, two 3D ball-and-stick models of the c-BN crystal lattice are shown. The first model shows a defect structure with a blue nitrogen atom and a green carbon atom. The second model shows the perfect c-BN crystal. A minus sign is between them. To the right, a green sphere and a blue sphere represent the atoms removed from the crystal, and a blue sphere and a green sphere represent the atoms added. A plus sign is between the removed atoms and a minus sign between the added atoms. To the right of the spheres is a plus sign and a band structure plot. The plot shows energy (E) on the vertical axis and wave vector (k) on the horizontal axis. The conduction band (CB) is shown as an orange shaded region above the Fermi level (E\_F), and the valence band (VB) is shown as a blue shaded region below E\_F. A vertical arrow points from E\_F to the CB.

# Configuration Coordinate Diagram

- Electron-phonon coupling
  - Huang-Rhys factor
$$S = \frac{1}{2\hbar}\Omega(\Delta Q)^2$$
- Semi-classical picture
  - Nonradiative
  - Radiative
- Used as the basis for a full quantum-mechanical treatment

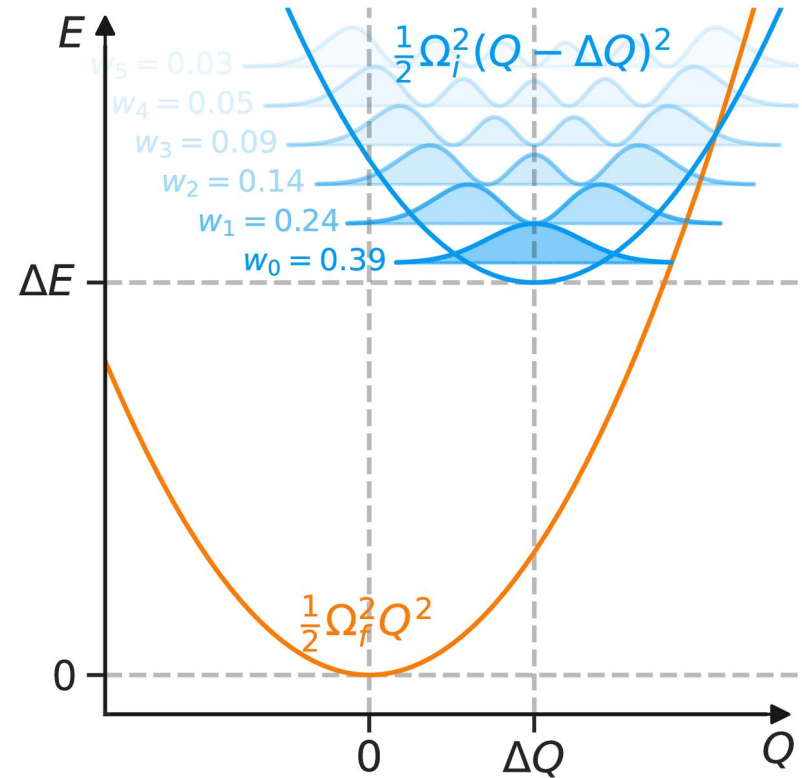


# Nonradiative Capture

- First-principles formulation
  - A. Alkauskas *et al.*,  
Phys. Rev. B **90**, 075202 (2014).
  - Single, special mode approximation

$$C = f \frac{2\pi}{\hbar} g V W_{if}^2 \sum_m w_m \times \sum_n |\langle \chi_{im} | \hat{Q} - Q_0 | \chi_{fn} \rangle|^2 \delta(\Delta E + m\hbar\Omega_i - n\hbar\Omega_f)$$

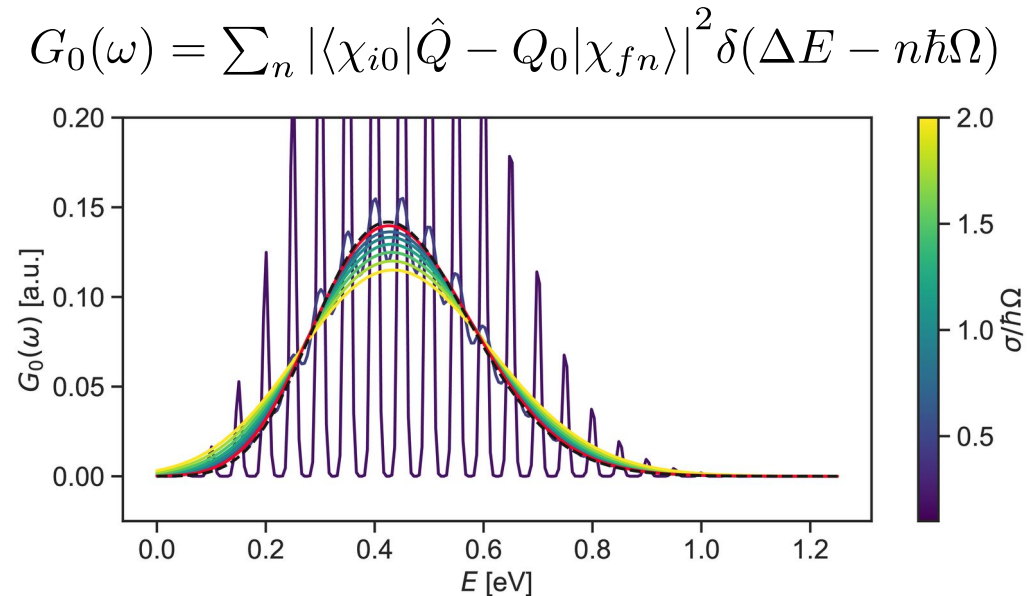
- Localized transitions
  - $f = 1$ ,  $V = 1$
- Open-source code
  - <https://github.com/mturiansky/nonrad>
- Improvements
  - PAW formalism
  - Broadening
  - Sommerfeld parameter



# Broadening

$$C = f \frac{2\pi}{\hbar} g V W_{if}^2 \sum_m w_m \times \sum_n |\langle \chi_{im} | \hat{Q} - Q_0 | \chi_{fn} \rangle|^2 \delta(\Delta E + m\hbar\Omega_i - n\hbar\Omega_f)$$

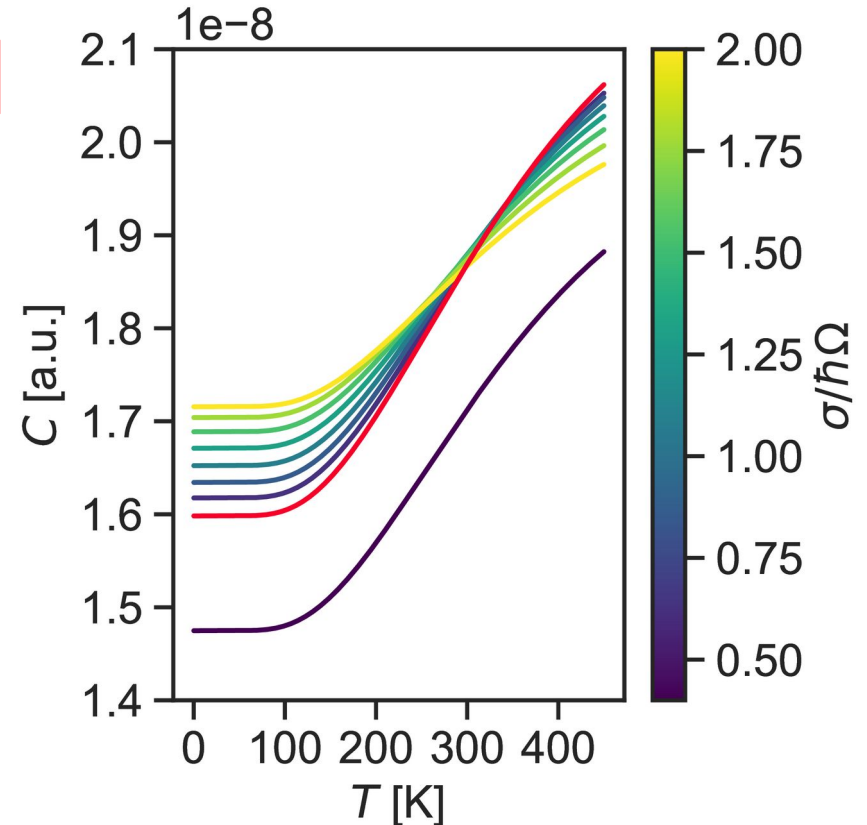
- Delta function too restrictive
  - Random internal fields
  - Finite lifetime of states
  - 1D approximation
- Delta  $\rightarrow$  Gaussian
  - Used in a diverse range of first-principles calculations
- Alternate scheme: interpolation
  - Cubic spline
  - Piecewise cubic hermite interpolating polynomial



# Broadening

$$C = f \frac{2\pi}{\hbar} g V W_{if}^2 \sum_m w_m \times \sum_n |\langle \chi_{im} | \hat{Q} - Q_0 | \chi_{fn} \rangle| \delta(\Delta E + m\hbar\Omega_i - n\hbar\Omega_f)$$

- Delta function too restrictive
  - Random internal fields
  - Finite lifetime of states
  - 1D approximation
- Delta  $\rightarrow$  Gaussian
  - Used in a diverse range of first-principles calculations
- Alternate scheme: interpolation
  - Cubic spline
  - Piecewise cubic hermite interpolating polynomial





# Sommerfeld Parameter

- Enhancement/suppression of carrier wavefunction near charge
- Analytic form  $\theta_b = m_b e^4 / 32 k_B \epsilon_0^2 \hbar^2$

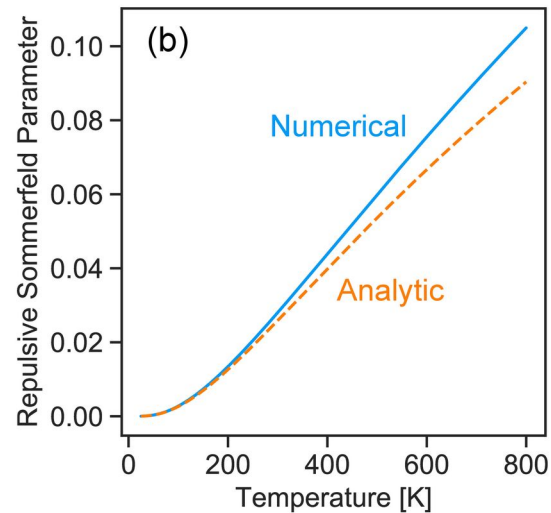
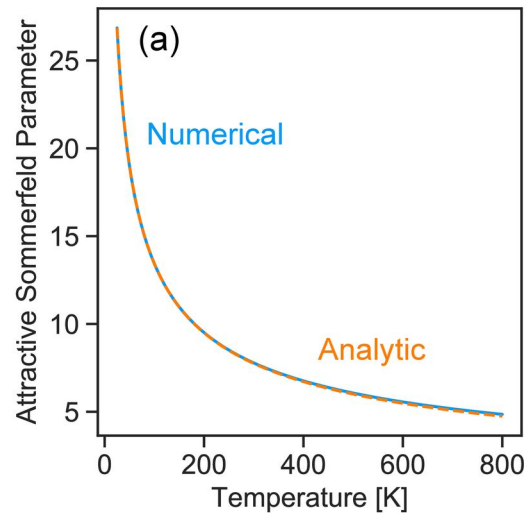
$$s(T) = \begin{cases} \frac{4}{\sqrt{\pi}} \left[ \frac{Z^2 \theta_b}{T} \right]^{1/2} & Z < 0 \\ \frac{8}{\sqrt{3}} \left[ \frac{Z^2 \theta_b}{T} \right]^{2/3} \exp \left( -3 \left[ \frac{Z^2 \theta_b}{T} \right]^{1/3} \right) & Z > 0 \end{cases}$$

- Temperature averaging

$$s(\mathbf{k}) = -\frac{2\pi Z}{a_b |\mathbf{k}|} \frac{1}{1 - e^{2\pi Z/a_b |\mathbf{k}|}}$$

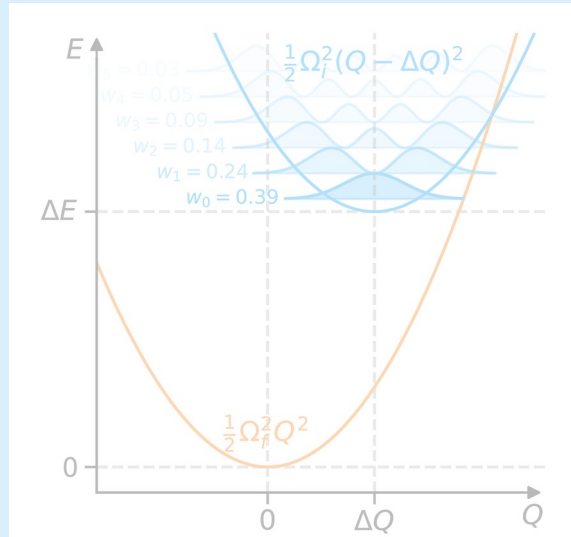
$$s(T) = \frac{\int_0^\infty d|\mathbf{k}| 4\pi |\mathbf{k}|^2 s(\mathbf{k}) e^{-\hbar^2 |\mathbf{k}|^2 / 2m_b k_B T}}{\int_0^\infty d|\mathbf{k}| 4\pi |\mathbf{k}|^2 e^{-\hbar^2 |\mathbf{k}|^2 / 2m_b k_B T}}$$

$$|\mathbf{k}| \ll 2\pi |Z| / a_b \quad a_b = 4\pi \epsilon_0 \hbar^2 / m_b e^2$$

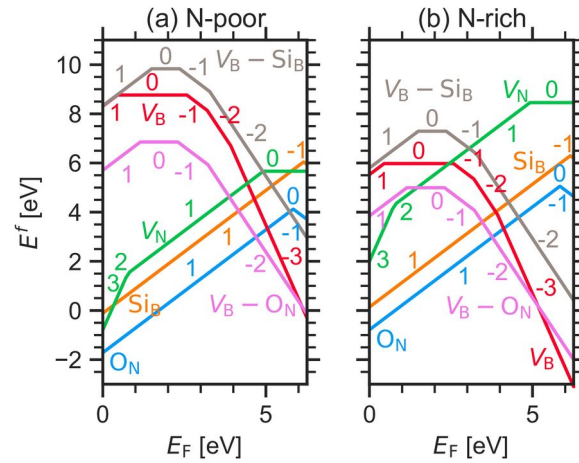


# Outline

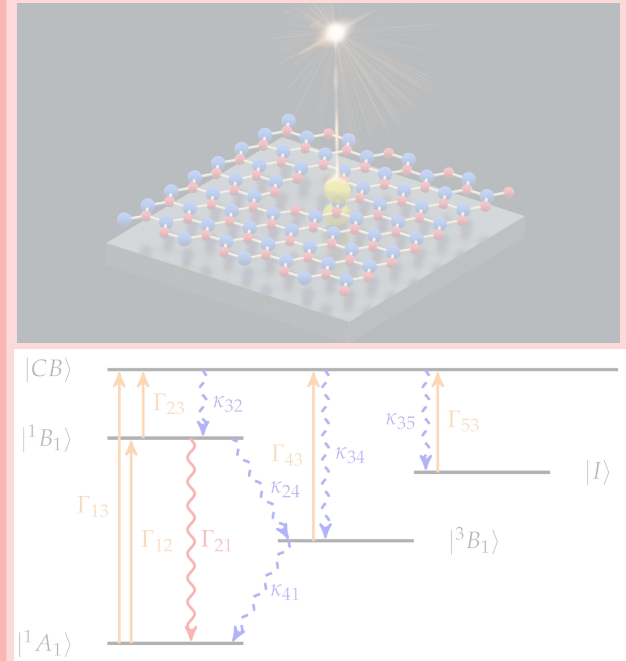
## Theory



## Cubic Boron Nitride

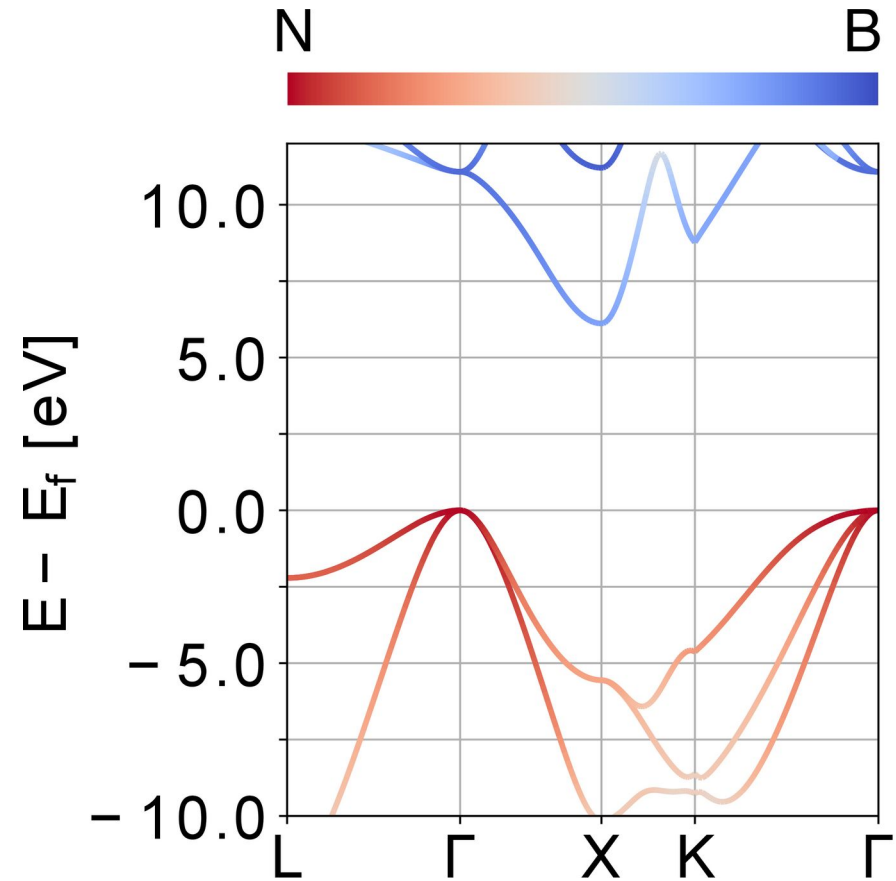


## Hexagonal Boron Nitride

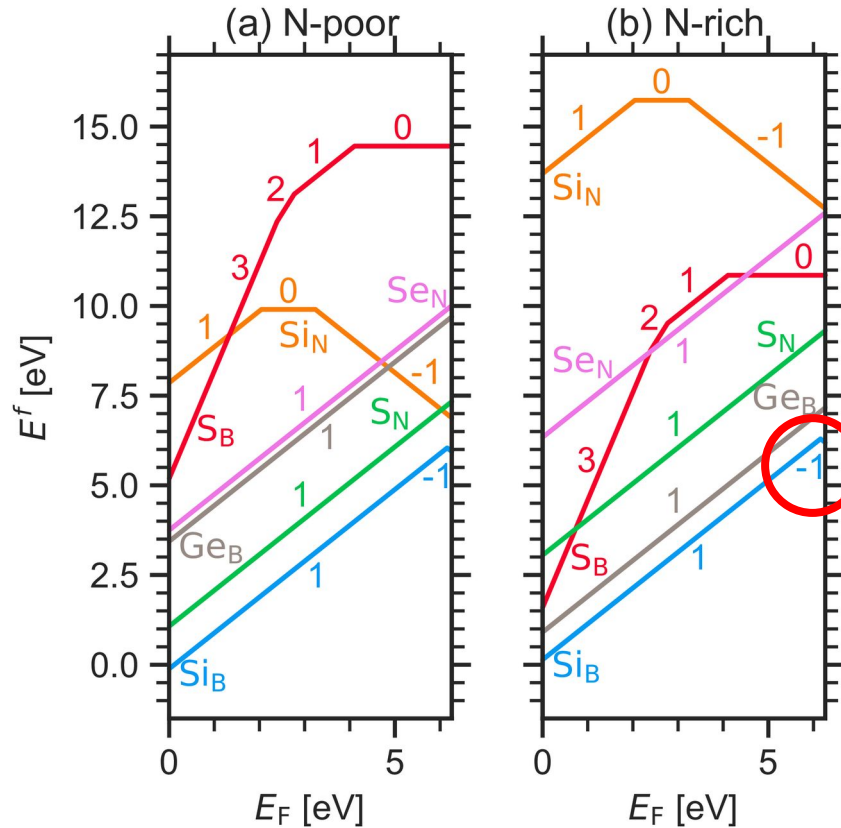


# Motivation

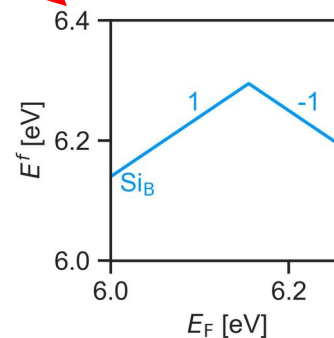
- Cubic boron nitride
  - Wide band gap (6.26 eV)
  - High breakdown field
  - Thermal + chemical stability
  - Claims of  $n$ - and  $p$ -type dopability
- Promising applications
  - Power electronics
  - Deep-UV optoelectronics
  - Host for quantum defects
- *Controllable dopability is essential for applications!*
  - L. Weston *et al.*,  
Phys. Rev. B **96**, 100102 (2017)



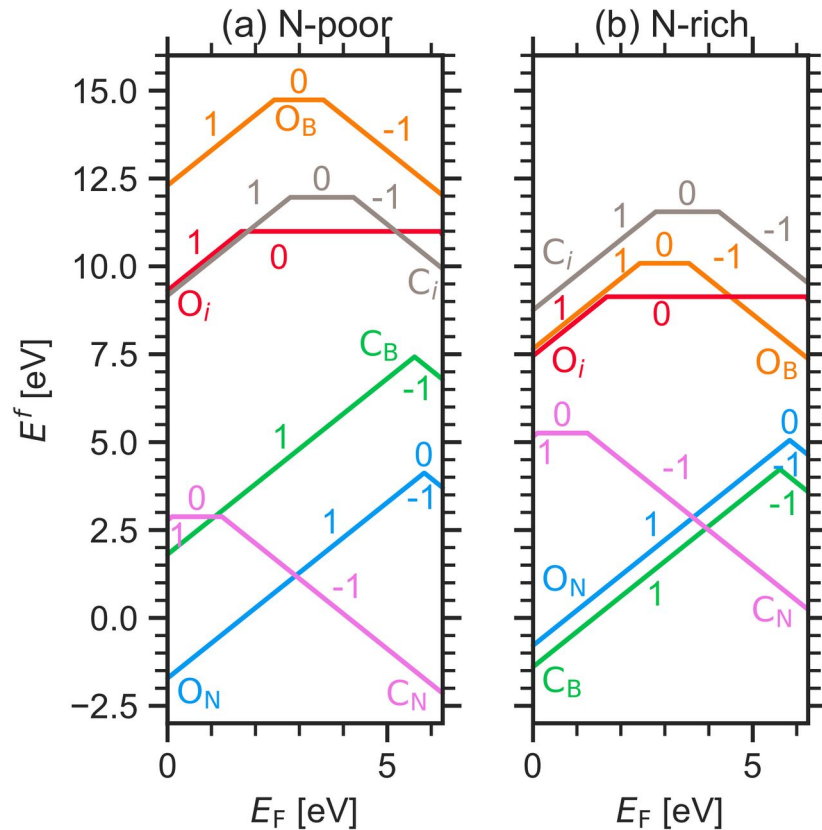
# Intentional Dopants



- Considered Si, Ge, S, and Se
- $\text{Ge}_B$  and  $\text{S}_N$  high in formation energy
- $\text{Si}_B$  is promising
  - Free from self-compensation
  - $DX$  center
  - $\epsilon(+/-) = 0.11$  eV below conduction band
  - K. Hirama *et al.*, Appl. Phys. Lett. **116**, 162104 (2020)



# Unintentional Impurities

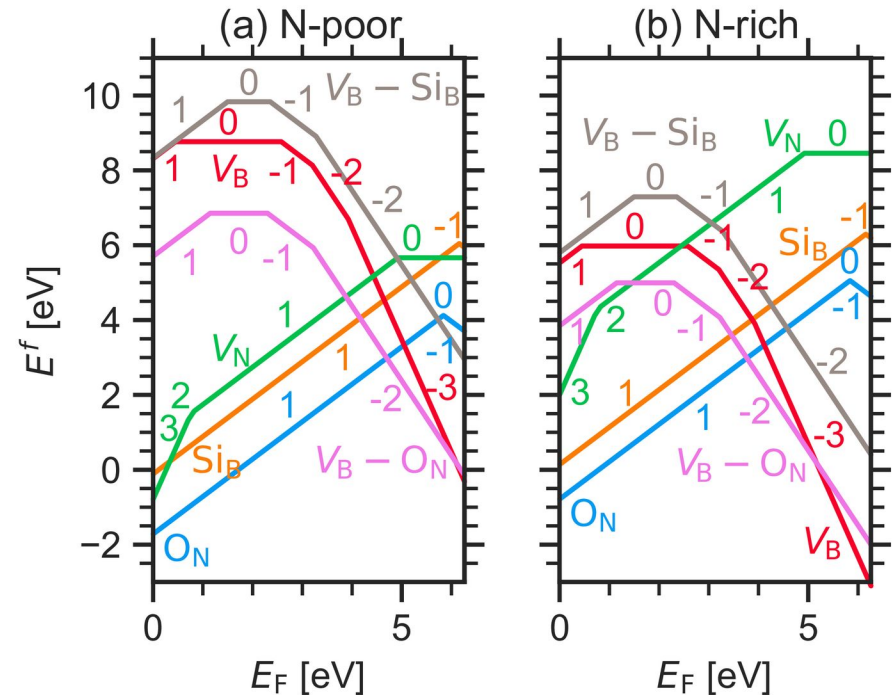
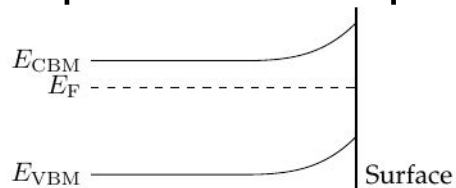


- C, O ubiquitous impurities
- C prone to self-compensation
- $O_N$  is promising
  - Free from self-compensation
  - $DX$  center
  - $\epsilon(+/-) = 0.42$  eV below conduction band
  - At room temperature,  $10^{16} \text{ cm}^{-3} \text{ O} \rightarrow 10^{14} \text{ cm}^{-3}$  carriers
  - T. Taniguchi *et al.*, Jpn. J. Appl. Phys. **41**, L109 (2002).



# Compensation by Vacancies

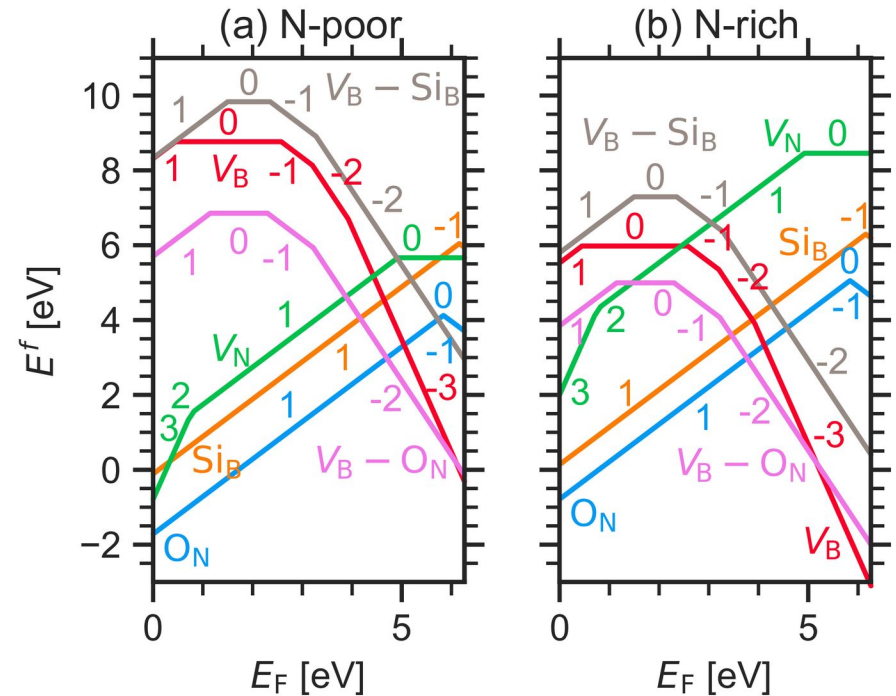
- $V_B$  deep acceptor
  - Compensation
- Complex formation makes things worse!
- $V_B$  immobile below 1300 K
  - Non-equilibrium effects
  - High pressure, high temperature growth > 1300 K
  - Thin film growth < 1300 K
  - Band bending favors incorporation of dopants



# Summary

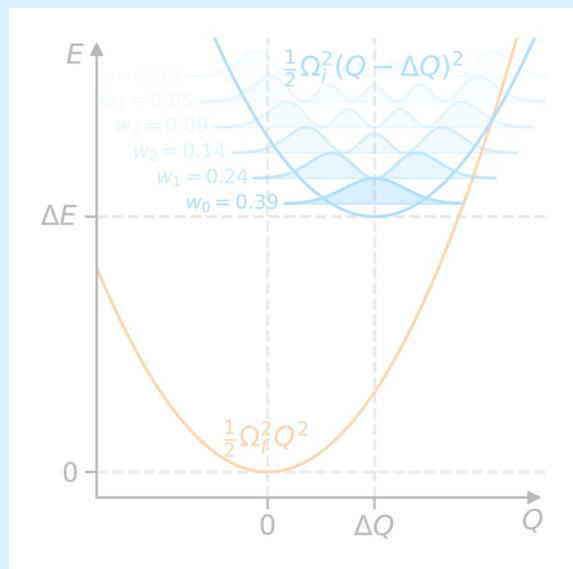
- $\text{Si}_\text{B}$  and  $\text{O}_\text{N}$  are the most promising  $n$ -type dopants.
- Compensation by  $V_\text{B}$  poses a problem for doping efforts.
- Control of growth kinetics is essential to improve doping.

**M. E. Turiansky**, D. Wickramaratne,  
J. L. Lyons, and C. G. Van de Walle,  
Appl. Phys. Lett. **119**, 162105 (2021).

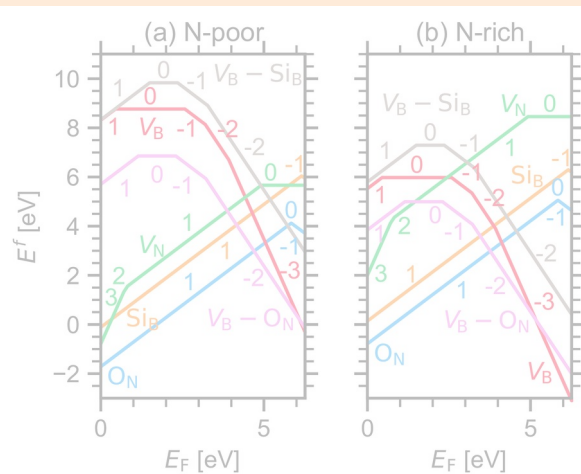


# Outline

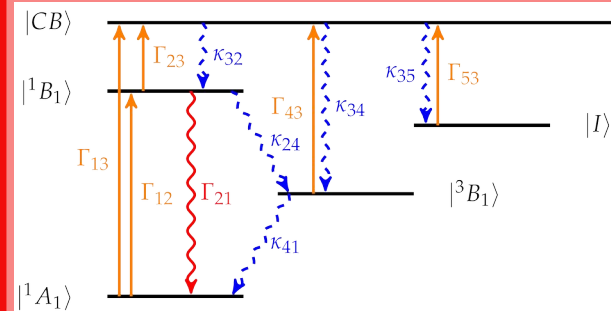
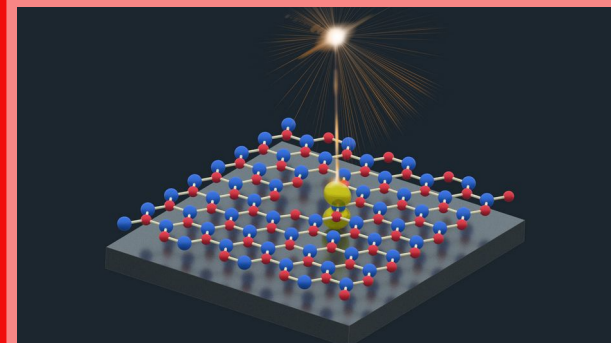
## Theory



## Cubic Boron Nitride

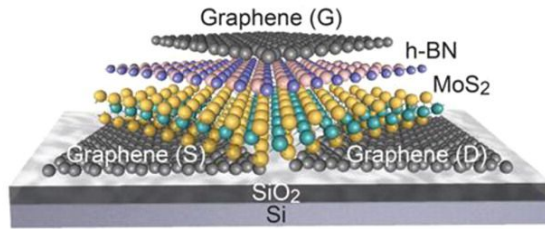


## Hexagonal Boron Nitride



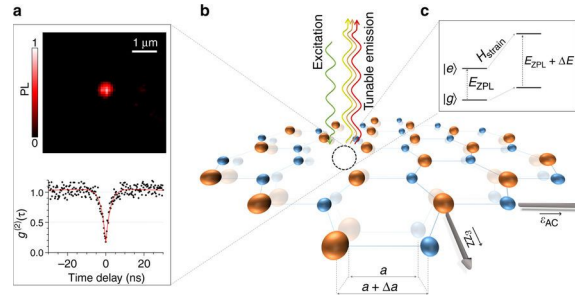
# Hexagonal Boron Nitride (h-BN)

## Two-dimensional devices



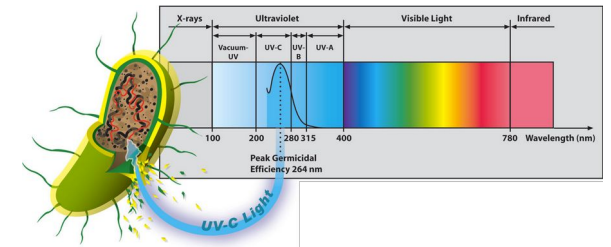
T. Roy *et al.*,  
ACS Nano **8**, 6259 (2014).

## Single-photon emission



G. Grosso *et al.*,  
Nat. Commun. **8**, 705 (2017).

## Ultra-wide-bandgap devices

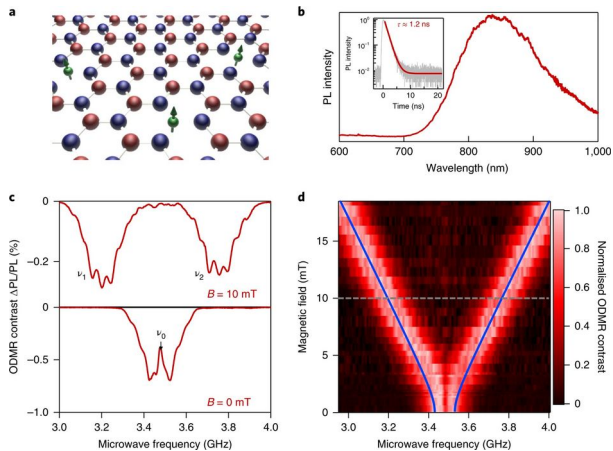


Kowalski, "Mathematical Modeling of Ultraviolet Germicidal Irradiation for Air Disinfection" (2000).

- Two-dimensional, layered material
- Large, indirect band gap of 6.08 eV
- Promising for electronics + optoelectronics, and as *a host for quantum defects*

# Quantum Defects in hBN

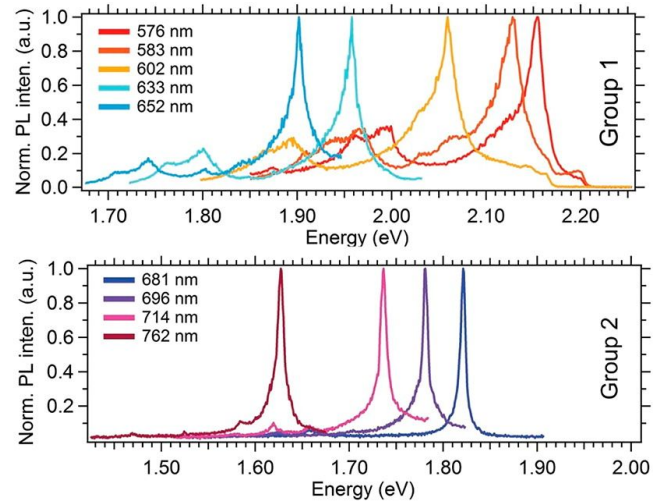
## Spin center



$V_B^-$

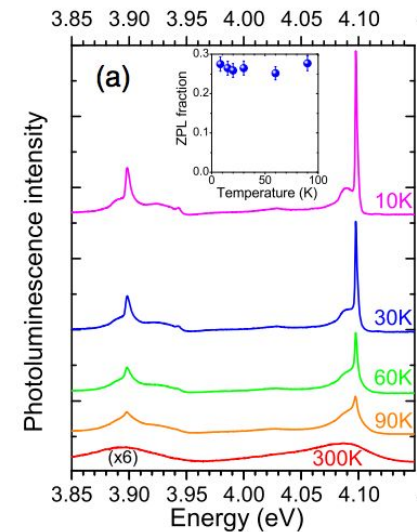
A. Gottscholl *et al.*,  
 Nat. Mater. **19**, 540 (2020).  
 M. E. Turiansky *et al.*,  
 Nat. Mater. **19**, 487 (2020).

## Visible emitters



T. Tran *et al.*, ACS Nano **10**, 7331 (2016).

## UV emitters



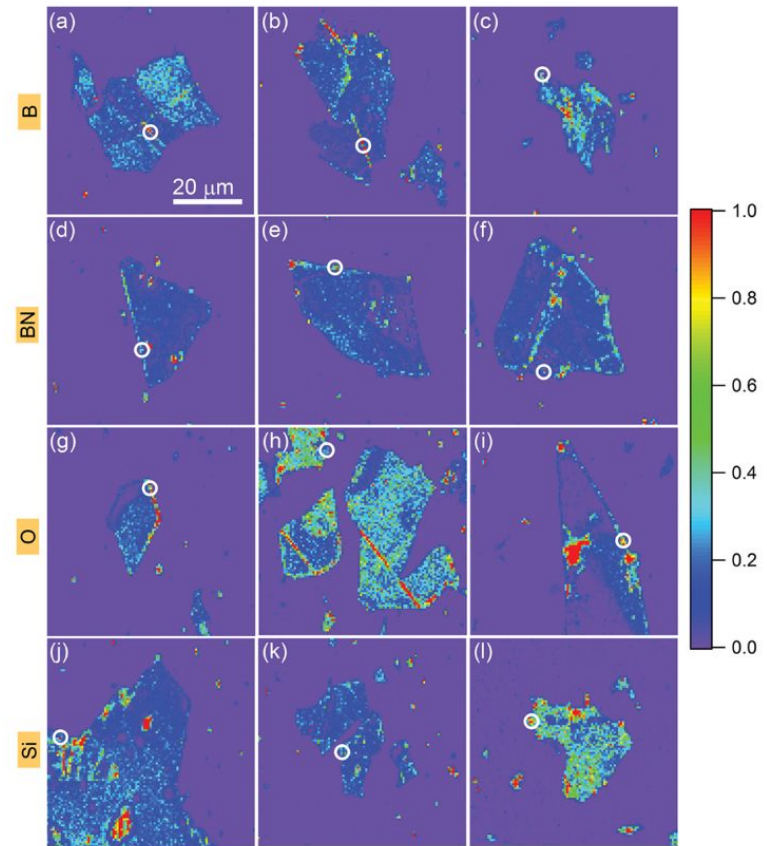
$C_B C_N^0$

T. Vuong *et al.*,  
 Phys. Rev. Lett. **117**, 097402 (2016).  
 M. Mackoīt-Sinkevičienė *et al.*,  
 Appl. Phys. Lett. **115**, 212101 (2019).



# 2 eV Single-Photon Emitters

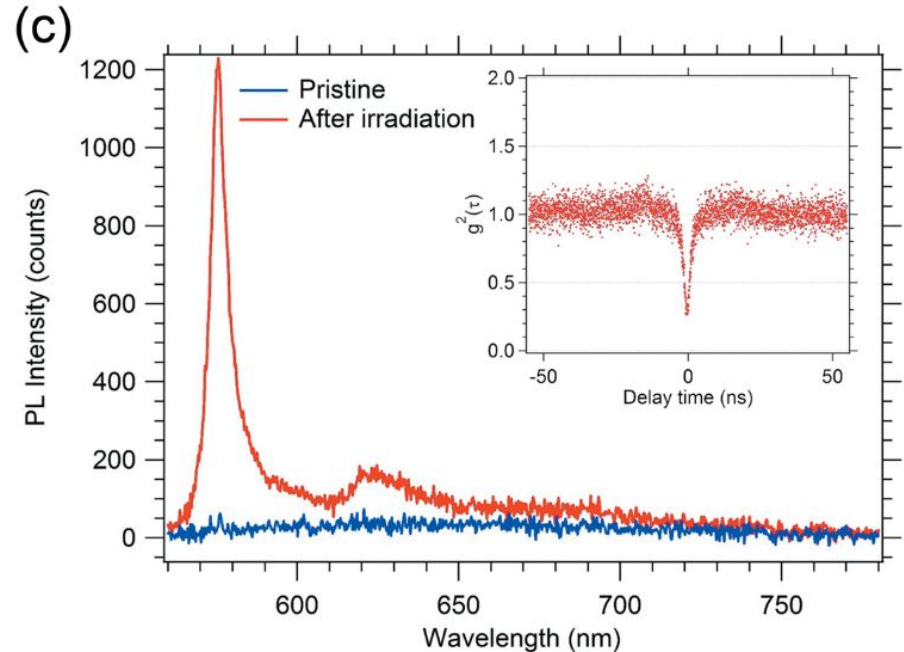
- Rare  $\rightarrow$  low densities
- Present in as-grown samples
- Can be created/activated with irradiation, annealing, nanopillars, ...
- Generally near flake edges or extended defects



S. Choi *et al.*,  
ACS Appl. Mater. Interfaces **8**, 29642 (2016).

# 2 eV Single-Photon Emitters

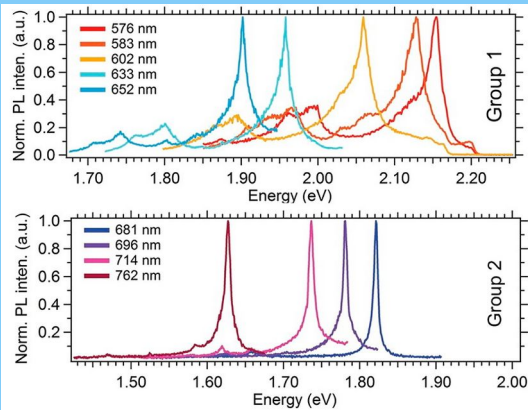
- Zero-phonon line  $\sim 2$  eV
- Linearly polarized emission
- Relatively weak coupling to phonons
  - Huang-Rhys factor  $S \sim 1-2$
  - N. R. Jungwirth *et al.*, Nano Lett. **16**, 6052 (2016).
  - Acoustic phonons in 2D  
→  $S \sim 2-3$



S. Choi *et al.*,  
ACS Appl. Mater. Interfaces **8**, 29642 (2016).

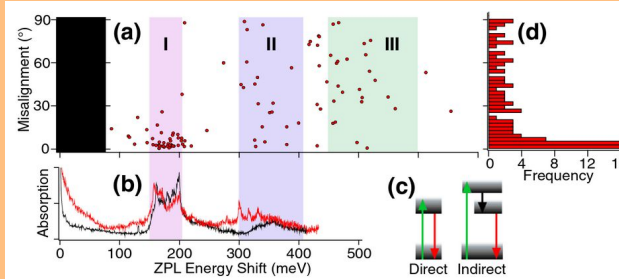
# 2 eV Single-Photon Emitters

## Multicolor emission



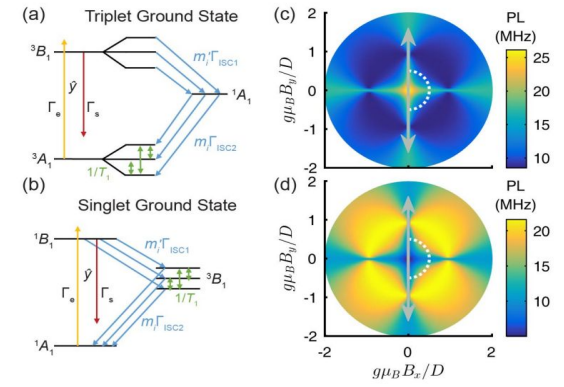
T. Tran *et al.*,  
ACS Nano **10**, 7331 (2016).

## Optical polarization



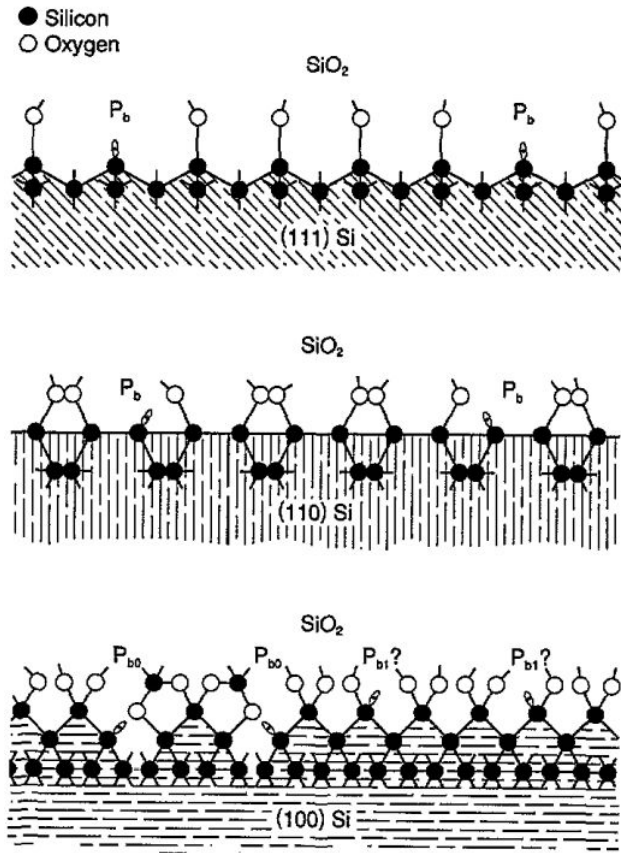
N. Jungwirth and G. Fuchs,  
Phys. Rev. Lett. **119**, 057401 (2017).

## Magnetic field response



A. Exarhos *et al.*,  
Nat. Commun. **10**, 222 (2019).

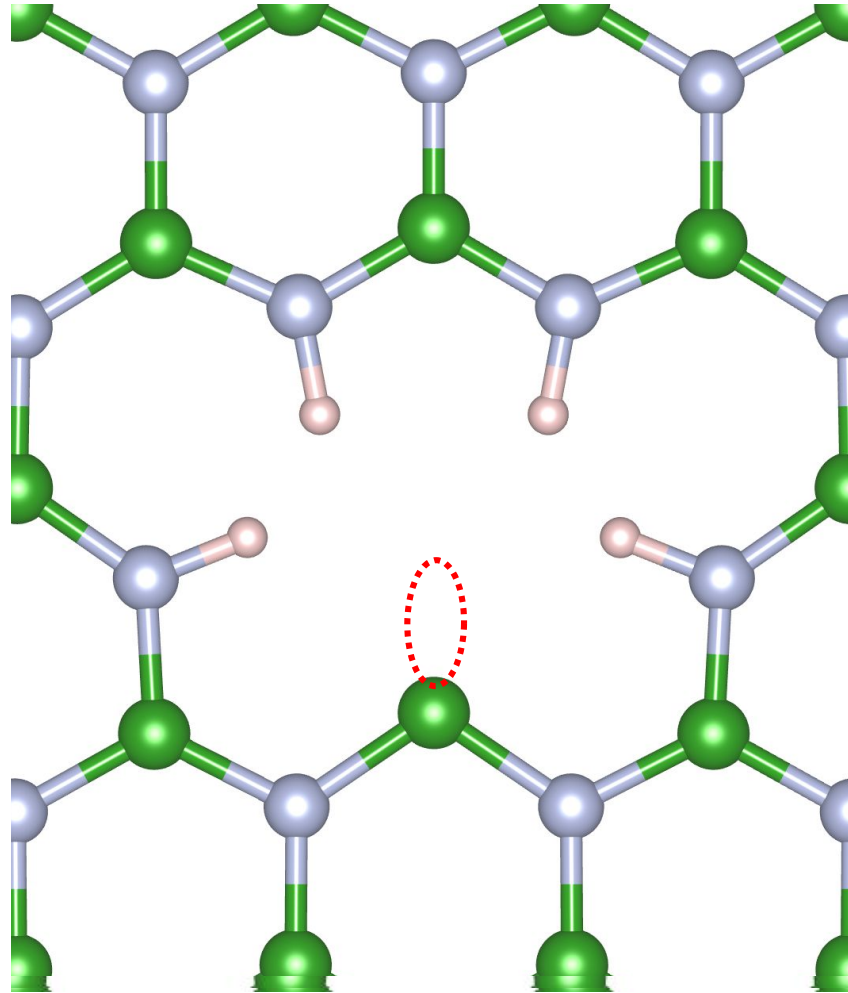
# Dangling Bonds?



C. R. Helms and E. H. Poindexter,  
Rep. Prog. Phys. **57**, 791 (1994).

- Ubiquitous defect in Si
- Single broken bond
  - “partial” vacancy
- Found where bonding is disrupted
  - interfaces, grain boundaries, line defects, large voids, ...
- Sensitive to local environment
  - Heterogeneity
  - Could explain multicolor emission
- May be extremely stable

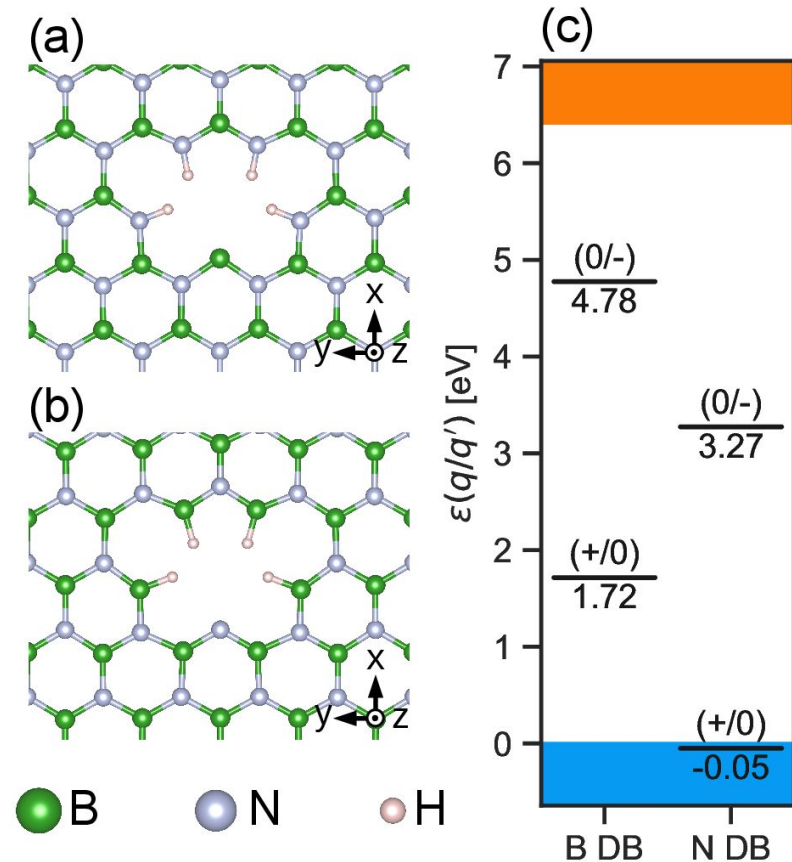
# Modeling a Dangling Bond





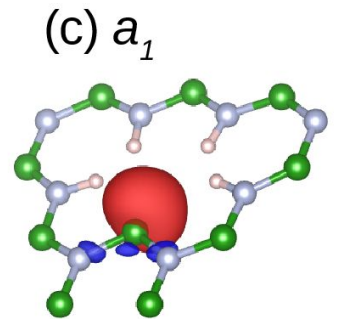
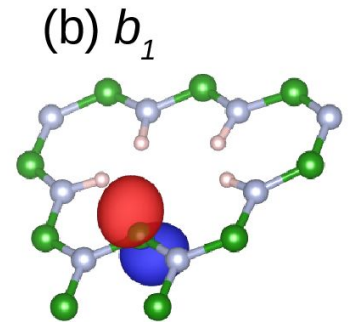
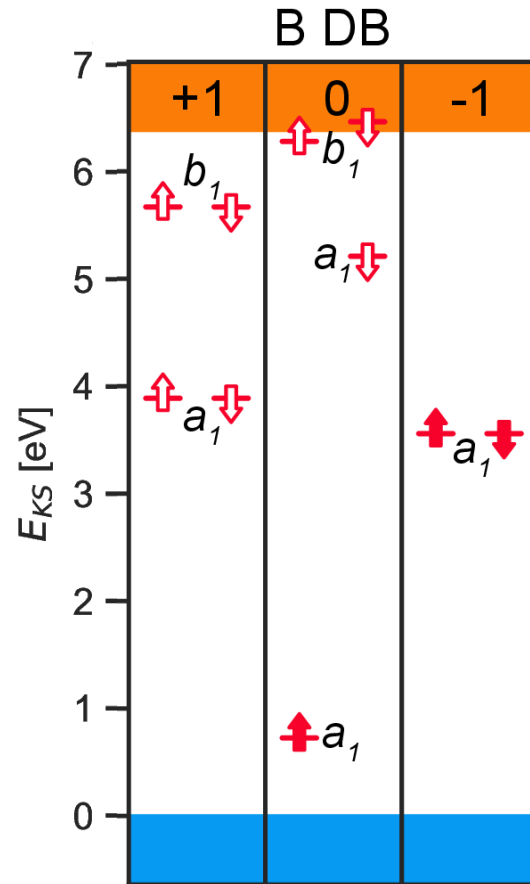
# Transition Levels

- Considered both B and N dangling bonds
- $C_{2v}$  symmetry
- Rule out N dangling bonds
- Expect transition level in upper half of band gap
  - Z.-Q. Xu *et al.*,  
2D Mater. **7**, 031001 (2020).



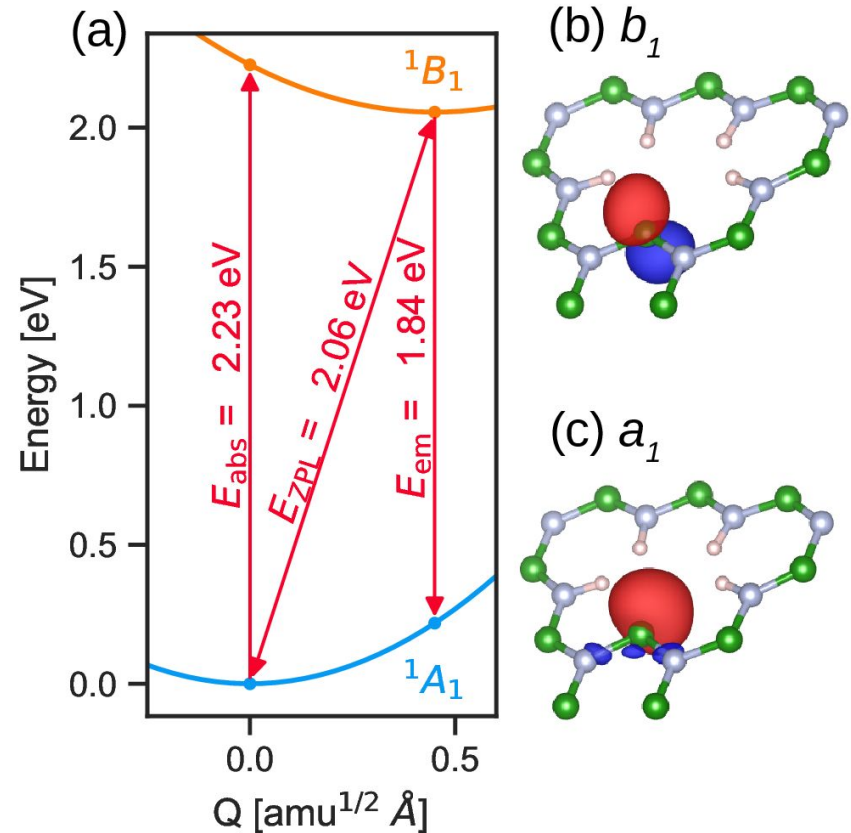
# Single-Particle States

- $a_1 \rightarrow$  dangling bond
- $b_1 \rightarrow$  localized  $p_z$  state
- Rule out +1 and 0 states
  - 3.27 eV transition in 0
- Focus on -1
  - Doubly occupied dangling bond state
  - Hypothesize that  $b_1$  will become localized



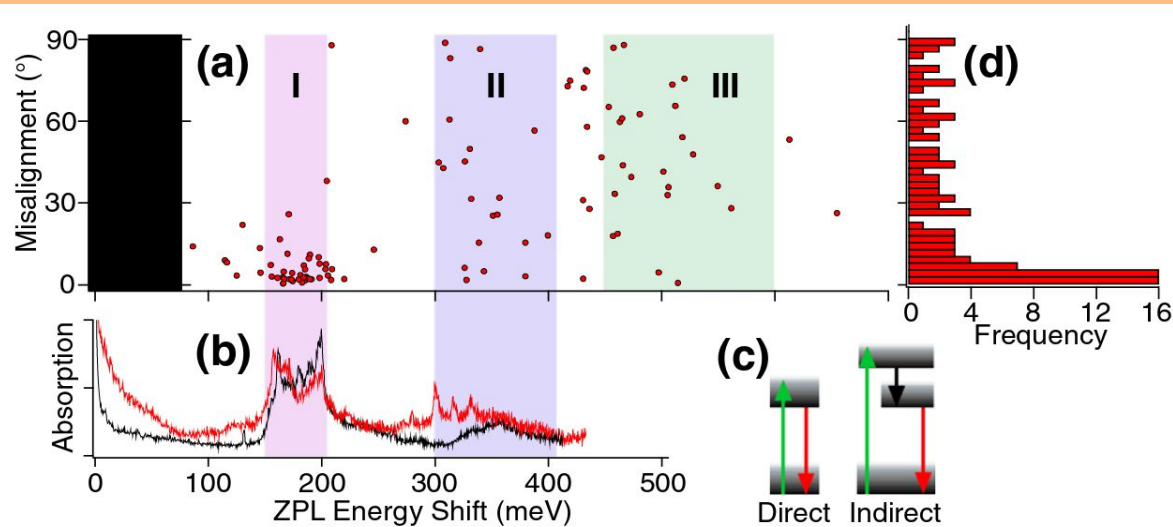
# Optical Transition

- Zero-phonon line (ZPL) agrees well with experiment
- Huang-Rhys factor  $S = 2.3$
- Linearly polarized
  - Expect lower symmetry

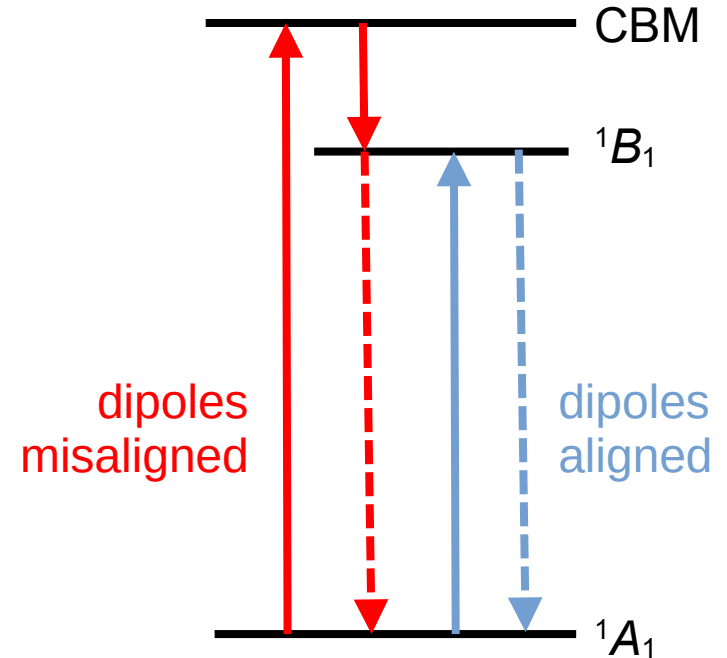


# Dipole Misalignment

## Optical polarization



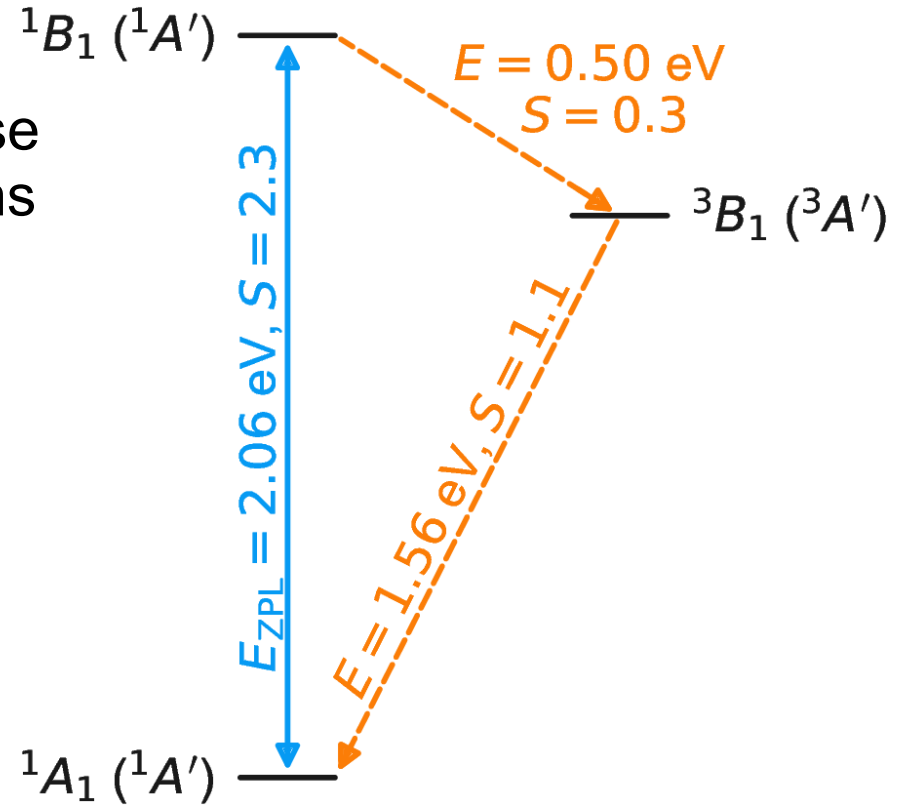
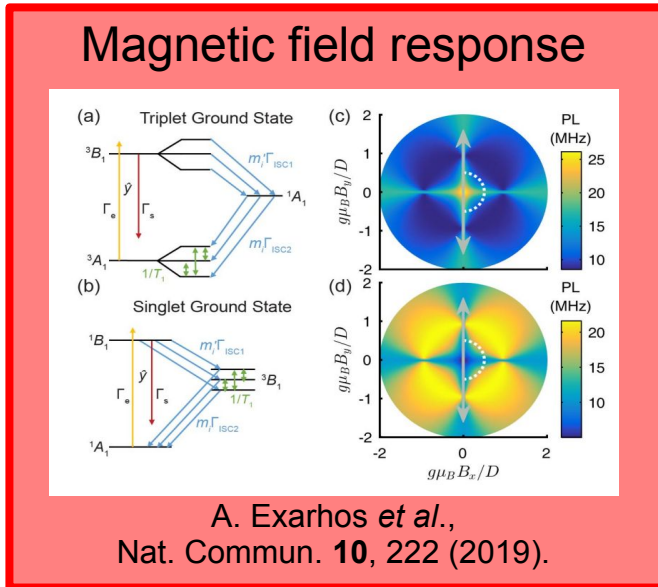
N. Jungwirth and G. Fuchs,  
Phys. Rev. Lett. **119**, 057401 (2017).



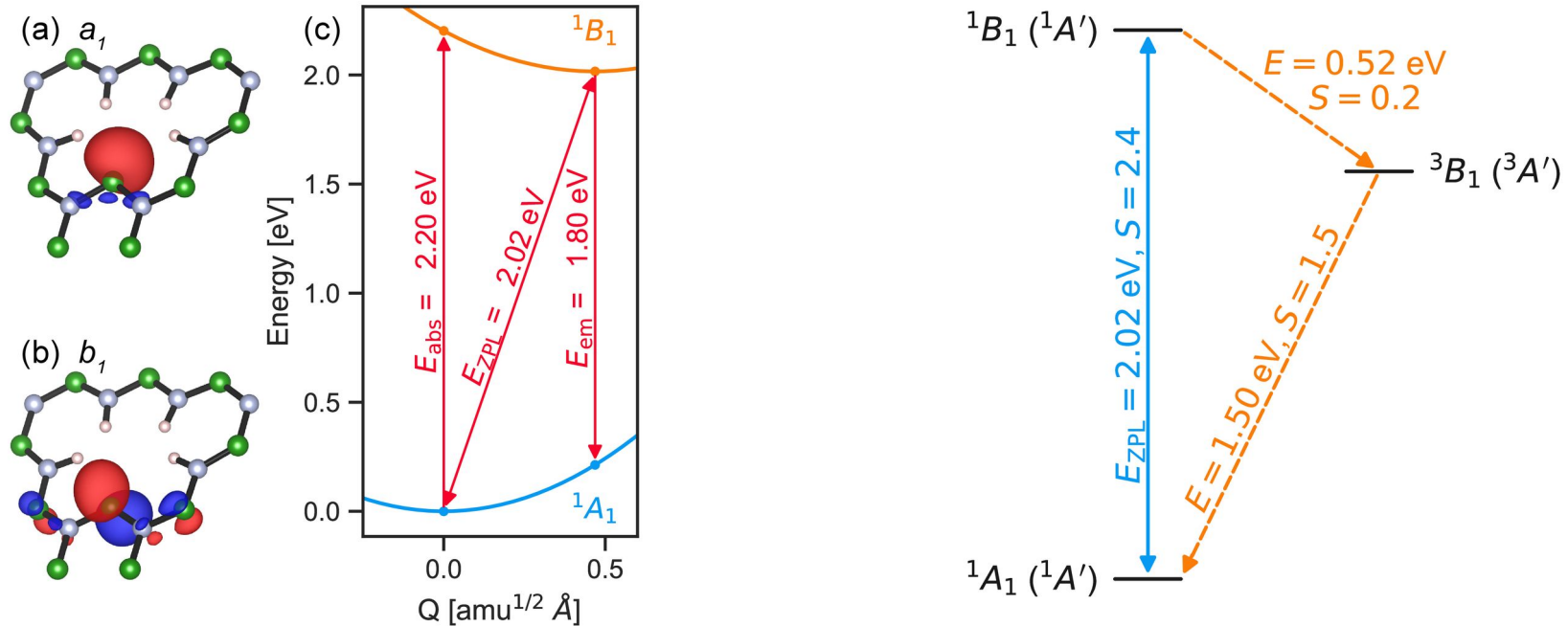
- Photoionization may be important
  - P. Khatri *et al.*, Nano Lett. **20**, 4256 (2020).

# Magnetic-Field Dependence

- High spin configuration
- Magnetic-field dependent optical response
- Consistent with experimental observations after considering  $C_{1h}$  symmetry
- Necessary for spin-based sensing



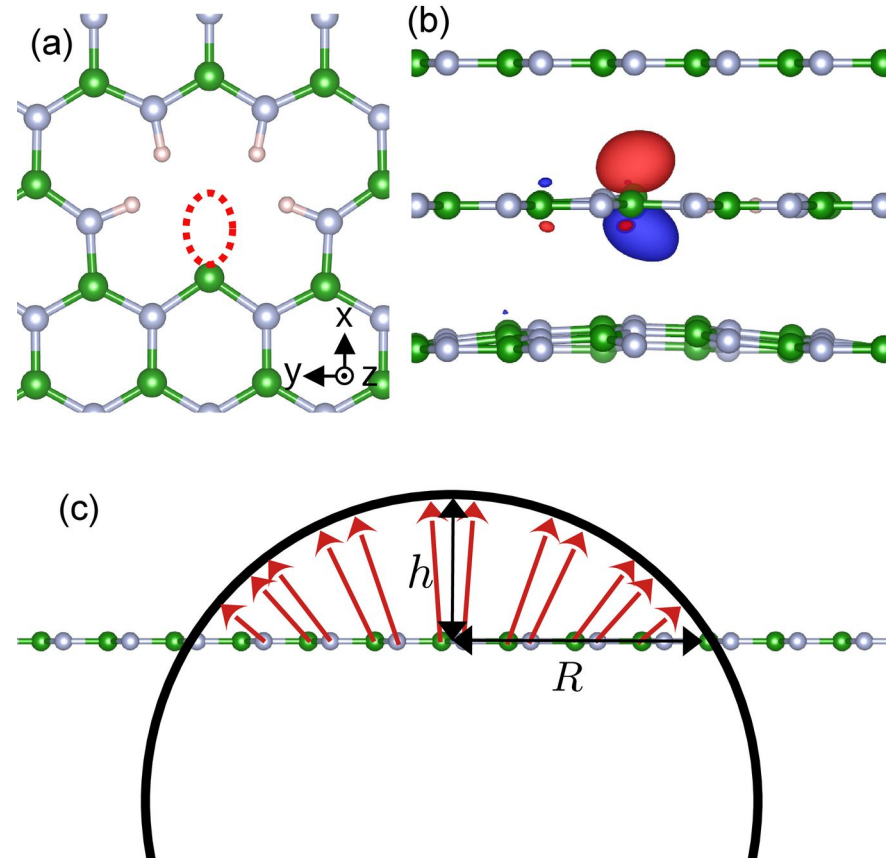
# Dangling Bonds in a Monolayer



- Optical transition in monolayer is similar to transition in bulk
- Differences in triplet state may affect magnetic-field dependence

# Out of Plane Distortions

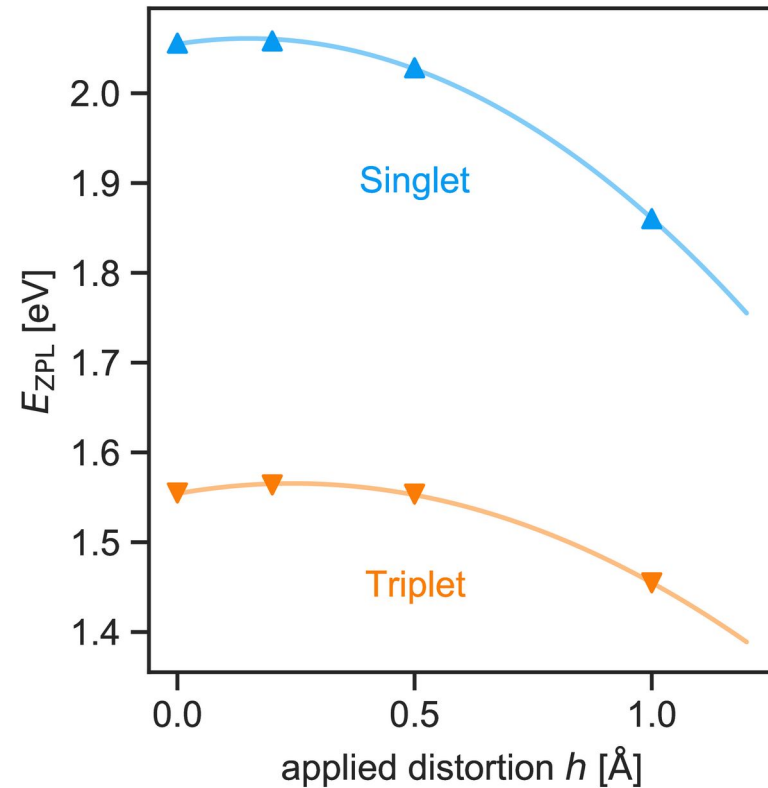
- 2D materials live in 3D
- Symmetry lowering is a consistent theme for dangling bonds
- Emitters found near extended defects and wrinkles
- Nanobubbles to activate emitters
  - W. Liu *et al.*, Physica E Low Dimens. Sys. Nanostruct. **124**, 114251 (2020).





# Zero-Phonon Line

- Distortion reduces zero-phonon line energy
  - Could explain range of energies observed
- Increases coupling to phonons (Huang-Rhys factor)
  - Excited state moves further out of plane
  - N. R. Jungwirth *et al.*, Nano Lett. **16**, 6052 (2016).

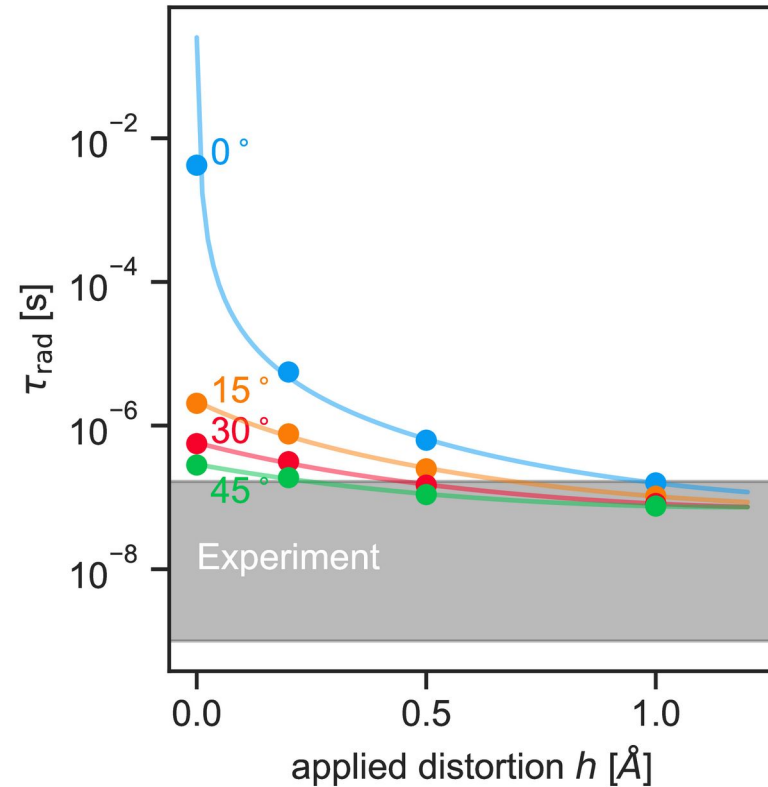
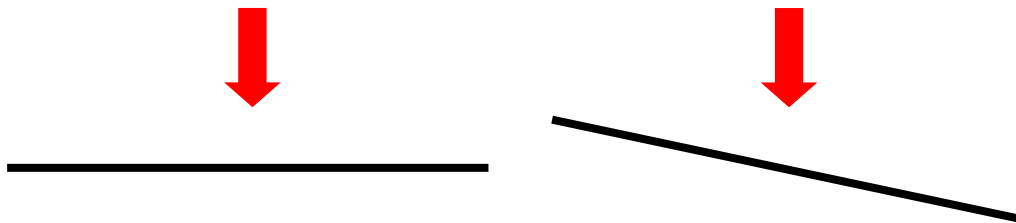


# Radiative Lifetime

- Radiative lifetime

$$\Gamma_{\text{rad}} = \tau_{\text{rad}}^{-1} = \frac{nE_{\text{ZPL}}^3 \mu^2}{3\pi\epsilon_0 c^3 \hbar^4}$$

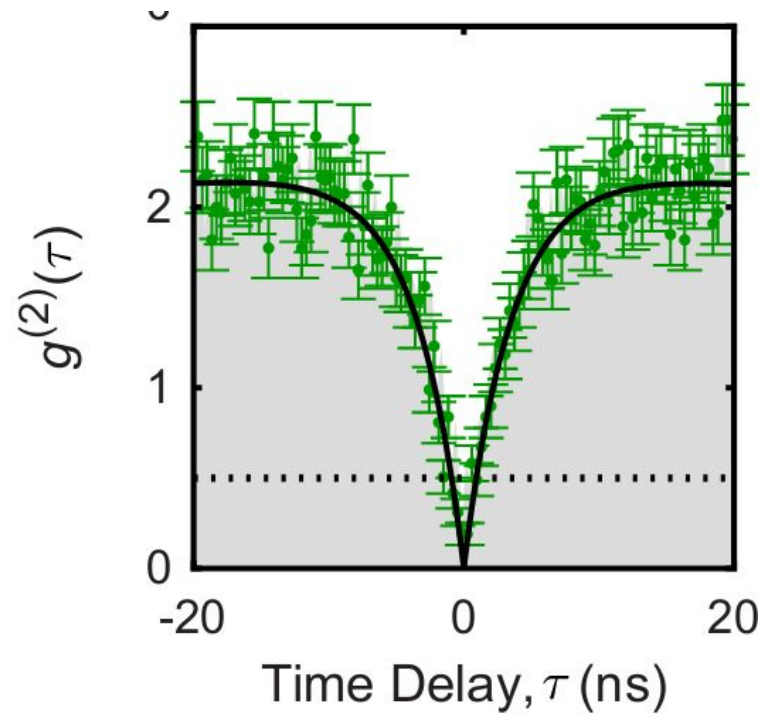
- Typical anti-bunching lifetime  $\sim 1\text{-}10$  ns
- Quantum efficiency as low as 6%
  - X. Li *et al.*, ACS Nano **13**, 6992 (2019).
- Two important effects
  - Distortion
  - Misalignment
    - Is the light really orthogonal to the plane?



# Photon Autocorrelation

- Measurements performed by experimental collaborators at UPenn
- Hanbury Brown-Twiss experimental setup
- Demonstrates single-photon emission

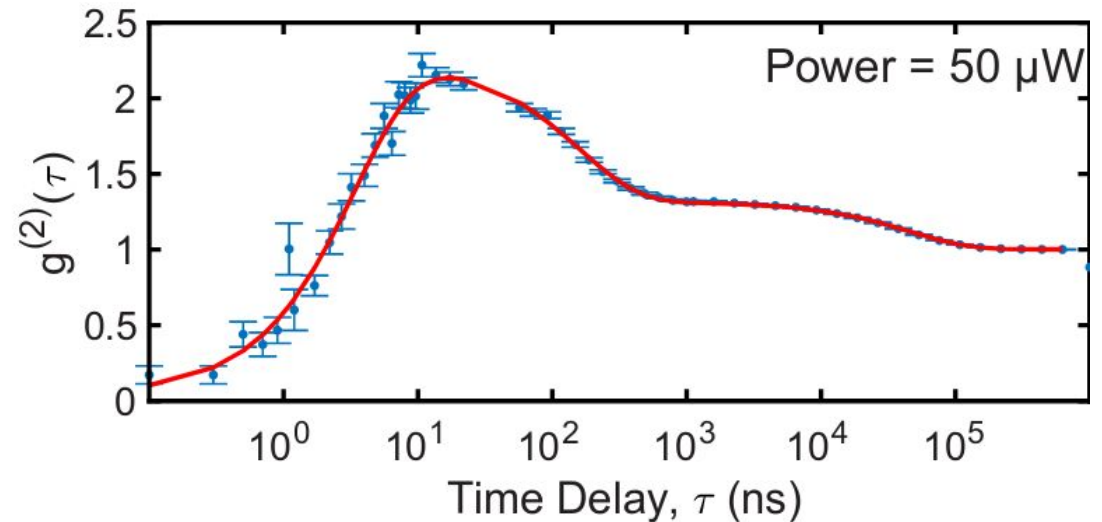
$$g^{(2)}(\tau) = \frac{\langle I(t)I(t + \tau) \rangle}{\langle I(t) \rangle^2}$$



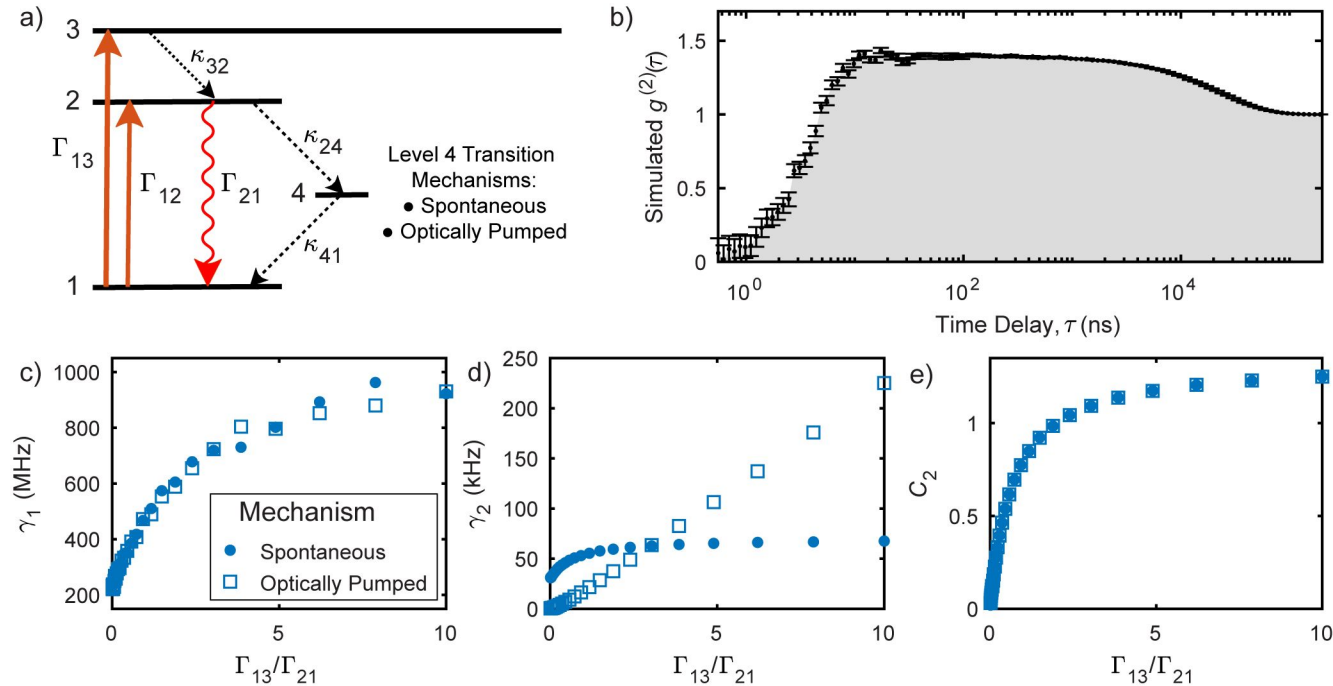
# Photon Emission Correlation Spectroscopy

- Long time scales provide insight into level structure
- Fitting function  $\rightarrow$  quantitative insight into the timescales involved
- Compare with simulations

$$g^{(2)}(\tau) = 1 - C_1 e^{-\gamma_1 \tau} + \sum_{i=2}^N C_i e^{-\gamma_i \tau}$$

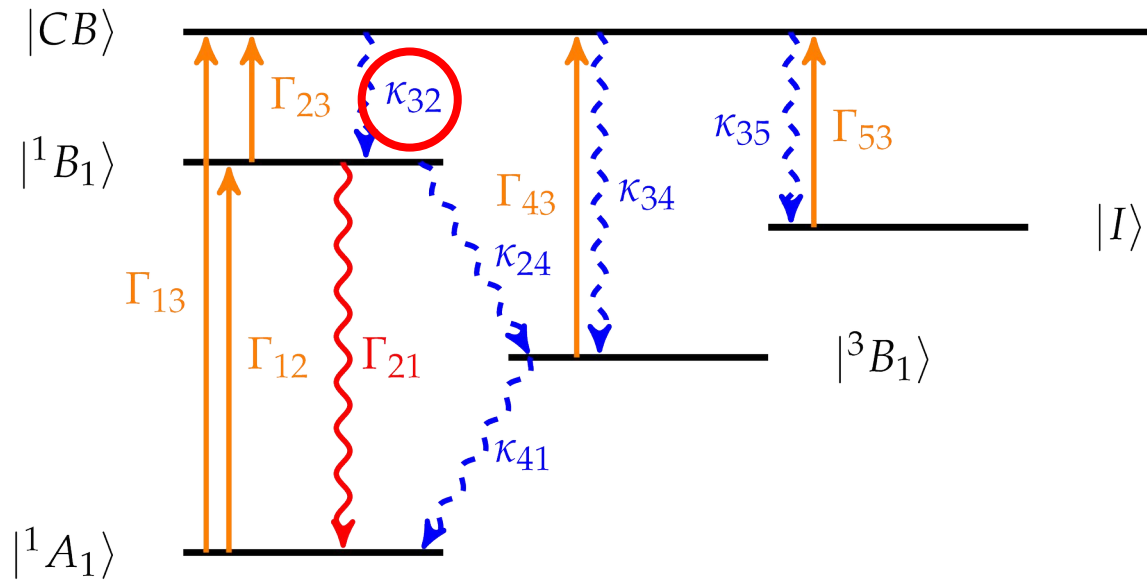


# Optical Dynamics



- Construct level diagram that explains experiments
- Two relevant conclusions:
  - Anti-bunching shows evidence of indirect excitation
  - Different number of bunching levels in each emitter

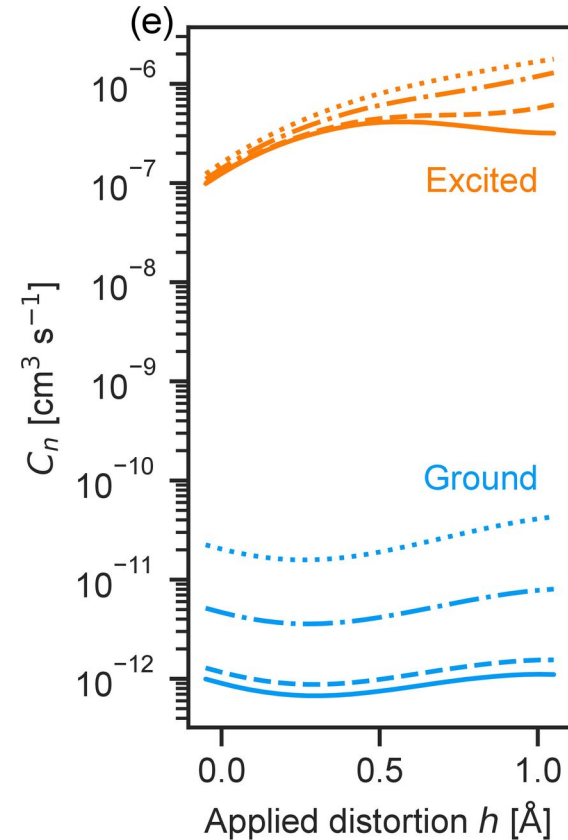
# Dangling Bond Level Structure



- Dangling bond  $\rightarrow$  rich level structure
- Explains heterogeneity (e.g. multiple bunching times)
- Consider nonradiative capture of electron

# Comparison

- Capture into excited state favored by  $\sim 5$  orders of magnitude
- $C \sim 4 \times 10^{-7} \text{ cm}^3 \text{ s}^{-1}$
- Density of electrons
  - Thermal velocity –  $10^5 \text{ m s}^{-1}$
  - Relaxation time –  $1 \text{ ps}$
  - Distance –  $100 \text{ nm}$
  - Density –  $2.4 \times 10^{14} \text{ cm}^{-3}$
- Capture rate
  - Calculated  $\sim 100 \text{ MHz}$
  - Experiment  $\sim 300\text{-}800 \text{ MHz}$
- Supports dangling bond as microscopic model





# Summary

- Nonrad code
  - **M. E. Turiansky et al.**, Comput. Phys. Commun. **267**, 108056 (2021).
  - <https://github.com/mturiansky/nonrad>
- $O_N$  and  $Si_B$  are promising dopants in c-BN, but control of growth kinetic is necessary
  - **M. E. Turiansky et al.**, Appl. Phys. Lett. **119**, 162105 (2021).
- Boron dangling bonds are the likely origin of the 2 eV single-photon emission in h-BN
  - **M. E. Turiansky et al.**, Phys. Rev. Lett. **123**, 127401 (2019).
  - **M. E. Turiansky** and C. G. Van de Walle, J. Appl. Phys. **129**, 064301 (2021).
  - **M. E. Turiansky** and C. G. Van de Walle, 2D Mater. **8**, 024002 (2021).
- Optical dynamics of emitters in h-BN support dangling bond as the microscopic origin
  - R. N. Patel, ..., **M. E. Turiansky et al.**, arXiv:2201.08881 (2022).

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- X. Zhang, J.-X. Shen, **M. E. Turiansky**, and C. G. Van de Walle, Nat. Mater. **20**, 971 (2021).
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- **M. E. Turiansky**, A. Alkauskas, and C. G. Van de Walle, *in preparation*.
- **M. E. Turiansky**, X. Zhang, and C. G. Van de Walle, *in preparation*.

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- **M. E. Turiansky**, J.-X. Shen, D. Wickramaratne, and C. G. Van de Walle, J. Appl. Phys. **126**, 095706 (2019).

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- **M. E. Turiansky**, J. B. Varley, A. Alkauskas, and C. G. Van de Walle, *in preparation*.

## Unique Defects in a Variety of Materials

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- S. Mu, **M. E. Turiansky**, M. W. Swift, and C. G. Van de Walle, *in preparation*.
- **M. E. Turiansky** and C. G. Van de Walle, *in preparation*.

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